



Nursing Home Transition Diversion

Update: Transition to Uniform Assessment System for New York for NHTD Waiver

In this Newsletter:

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Dear Nursing Home Transition and Diversion Waiver (NHTD) provider:

The purpose of this letter is to inform you that the Centers for Medicare and Medicaid Services (CMS) have approved the use of the Uniform Assessment System for New York (UAS-NY) assessment tool for both initial and annual level of care (LOC) determinations for NHTD waiver participants.

Effective April 1, 2014, the UAS-NY is the LOC tool to be used for NHTD as part of the waiver enrollment. Active NHTD participants requiring an annual LOC assessment on or after April 1, 2014 will require a UAS-NY completed to meet the nursing facility LOC assurance at the time of the annual assessment due date. However, a participant who had a Patient Review Instrument (PRI) and Screen completed, for example, on March 15, 2014, will not need a UAS-NY completed until the March 15, 2015 due date, unless the participant's condition changes during that year.

Providers must take note of the following requirements:

- The PRI and Screen are no longer required for LOC assessments in the community after April 1, 2014.
- Any applicant not approved for the waiver by April 1, 2014 will need a UAS-NY for documentation of appropriate LOC for the waiver.
- If an applicant is in a facility, a PRI and Screen will still need to be conducted for LOC. Once an individual is approved for the waiver and living in the community, a UAS-NY must be completed within 90 calendar days of the participant's waiver authorization's effective date.
- If a participant has a UAS-NY completed for a state plan service, this can be utilized, as long as it is not more than 90 calendar days from the waiver's assessment due date in order to meet the NHTD requirement for LOC for the initial or annual dates.
- All Service Coordination agencies and Regional Resource Development Centers must have a copy of the

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UAS-NY Level of Care report in the participant's file.

For questions related to this directive, please contact NHTD waiver staff at 518.474.5271 or via email at nhtdwaiver@health.state.ny.us

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