# TABLE OF CONTENTS

**Section I – Purpose Statement** ................................................................. 3

**Section II – Claims Submission** .......................................................... 4
  - Electronic Claims ....................................................................................... 5
  - Paper Claims ............................................................................................ 10
  - UB-04 Claim Form ................................................................................... 12
  - Billing Instructions for Assisted Living Program Services ..................... 12

**Section III – Remittance Advice** .......................................................... 28
  - Electronic Remittance Advice .................................................................. 28
  - Paper Remittance Advice ........................................................................ 29
Section I – Purpose Statement

The purpose of this document is to assist the provider community in understanding and complying with the New York State Medicaid (NYS Medicaid) requirements and expectations for:

- Billing and submitting claims.
- Interpreting and using the information returned in the Medicaid Remittance Advice.

This document is customized for ALP providers and should be used by the provider as an instructional as well as a reference tool.
Section II – Claims Submission

ALP providers can submit their claims to NYS Medicaid in electronic or paper formats.

Providers are required to submit an Electronic/Paper Transmitter Identification Number (ETIN) Application and a Certification Statement before submitting claims to NYS Medicaid. Certification Statements remain in effect and apply to all claims until superseded by another properly executed Certification Statement. You will be asked to update your Certification Statement on an annual basis. You will be provided with renewal information when your Certification Statement is near expiration.

Pre-requisites for the Submission of Claims

Before submitting claims to NYS Medicaid, all providers need the following:

- An ETIN
- A Certification Statement

**ETIN**

This is a submitter identifier issued by the eMedNY Contractor. All providers are required to have an active ETIN on file with the eMedNY Contractor prior to the submission of claims. ETINs may be issued to an individual provider or provider group (if they are direct billers) and to service bureaus or clearinghouses.

The ETIN application is available at www.emedny.org by clicking on the link to the web page below:

Provider Enrollment Forms

**Certification Statement**

All providers, either direct billers or those who bill through a service bureau or clearinghouse, must file a notarized Certification Statement with NYS Medicaid for each ETIN used for billing.

The Certification Statement is good for one year, after which it needs to be renewed for billing continuity under a specific ETIN. Failure to renew the Certification Statement for a specific ETIN will result in claim rejection.

The Certification Statement is available on the third page of the ETIN application at www.emedny.org or can be accessed by clicking on the link above.
Electronic Claims

Pursuant to the Health Insurance Portability and Accountability Act (HIPAA), Public Law 104-191, which was signed into law August 12, 1996, the NYS Medicaid Program adopted the HIPAA-compliant transactions as the sole acceptable format for electronic claim submission, effective November 2003.

ALP providers who choose to submit their Medicaid claims electronically are required to use the HIPAA 837 Institutional (837I) transaction. In addition to this document, direct billers may also refer to the sources listed below to comply with the NYS Medicaid requirements.

- **HIPAA 837I Implementation Guide (IG)** explains the proper use of 837I standards and program specifications. This document is available at [www.wpc-edi.com/hipaa](http://www.wpc-edi.com/hipaa).

- **NYS Medicaid 837I Companion Guide (CG)** is a subset of the IG which provides specific instructions on the NYS Medicaid requirements for the 837I transaction. This document is available at www.emedny.org by clicking on the link to the web page below.

- **NYS Medicaid Technical Supplementary Companion Guide** provides technical information needed to successfully transmit and receive electronic data. Some of the topics put forth in this CG are testing requirements, error report information, and communication specifications. This document is available at www.emedny.org by clicking on the link to the web page below.

**eMedNY Companion Guides and Sample Files**

Pre-requirements for the Submission of Electronic Claims

In addition to an ETIN and a Certification Statement, providers need the following before submitting electronic claims to NYS Medicaid:

- A User ID and Password
- A Trading Partner Agreement
- Testing
User ID and Password
Electronic submitters need a user ID and password to access the NYS Medicaid eMedNY system through one of the communication methods available. The user ID and password are issued to the submitter at the time of enrollment in one of the communication methods. The method used to apply for a user ID varies depending on the communication method chosen by the provider. For example: An ePACES user ID is assigned systematically via email while an FTP user ID is assigned after the submission of a Security Packet B.

Trading Partner Agreement
This document addresses certain requirements applicable to the electronic exchange of information and data associated with health care transactions.

The NYS Medicaid Trading Partner Agreement is available at www.emedny.org by clicking on the link to the web page below:

Provider Enrollment Forms

Testing
Direct billers (either individual providers or service bureaus/clearinghouses that bill for multiple providers) are encouraged to submit production tests to CSC before they start submitting Medicaid claims for the first time after enrollment or any time they update their systems or start using a new system. This testing will assist providers in identifying errors in their system and allow for corrections before they submit actual claims.

Information and instructions regarding testing are available at www.emedny.org by clicking on the link to the web page below:

eMedNY Companion Guides and Sample Files
Communication Methods

The following communication methods are available for submission of electronic claims to NYS Medicaid:

- ePACES
- eMedNY eXchange
- FTP
- CPU to CPU
- eMedNY Gateway
- Simple Object Access Protocol (SOAP)

**ePACES**

NYS Medicaid provides ePACES, a HIPAA-compliant web-based application that is customized for specific transactions, including the 837I. ePACES, which is provided free of charge, is ideal for providers with small-to-medium claim volume.

The requirements for using ePACES include:

- An ETIN and Certification Statement should be obtained prior to enrollment
- Internet Explorer 4.01 and above or Netscape 4.7 and above
- Internet browser that supports 128-bit encryption and cookies
- Minimum connection speed of 56K
- An accessible email address
The following transactions can be submitted via ePACES:

- 270/271 - Eligibility Benefit Inquiry and Response
- 276/277 - Claim Status Request and Response
- 278 - Prior Approval/Prior Authorization/Service Authorization Request and Response
- 837 - Dental, Professional, and Institutional Claims

To take advantage of ePACES, providers need to follow an enrollment process. Additional enrollment information is available at www.emedny.org by clicking on the link to the web page below:

**Self Help**

**eMedNY eXchange**

eMedNY eXchange is a method in which claims can be submitted and works similarly to typical electronic mail (email). Users are assigned an inbox in the system and are able to send and receive transaction files. The files are attached to the request and sent to eMedNY for processing. The responses are delivered back to the user’s inbox where they can be detached and saved locally. For security reasons, the eMedNY eXchange is accessible only through the eMedNY website www.emedny.org.

Access to the eMedNY eXchange is obtained through an enrollment process. To enroll in eXchange, you must first complete enrollment in ePACES and at least one login attempt must be successful.

**FTP**

File Transfer Protocol (FTP) is the standard process for batch authorization transmissions. FTP allows users to transfer files from their computer to another computer. FTP is strictly a dial-up connection.

FTP access is obtained through an enrollment process. To obtain a user name and a password, you must complete and return a Security Packet B. The Security Packet B is available at www.emedny.org by clicking on the link to the web page below:

**Provider Enrollment Forms**
CPU to CPU

This method consists of a direct connection established between the submitter and the processor, and it is most suitable for high volume submitters. For additional information regarding this access method, contact the eMedNY Call Center at 800-343-9000.

eMedNY Gateway

The eMedNY Gateway or Bulletin Board System (BBS) is a dial-up access method that is only available to existing users. CSC encourages new trading partners to adopt a different access method for submissions to NYS Medicaid. (For example: FTP, eMedNY eXchange, SOAP, etc.)

Simple Object Access Protocol (SOAP)

The Simple Object Access Protocol (SOAP) communication method allows trading partners to submit files via the internet under a Service Oriented Architecture (SOA). It is most suitable for users who prefer to develop an automated, systemic approach to file submission.

Access to eMedNY via Simple Object Access Protocol must be obtained through an enrollment process that results in the creation of an eMedNY SOAP Certificate and a SOAP Administrator. Minimum requirements for enrollment include:

- An ETIN and Certification Statement for the enrollee’s Provider ID obtained prior to SOAP enrollment
- The enrollee must be a Primary ePACES Administrator or
- The enrollee must have existing FTP access to eMedNY

Additional information about ‘Getting Started with SOAP’ is available on emedny.org by clicking on the link to the web page below:

  eMedNY Companion Guides and Sample Files

Notes:

- For additional information regarding the Simple Object Access Protocol, please send an e-mail to NYHIPAADESK3@csc.com.
- For questions regarding ePACES, eXchange, FTP, CPU to CPU or eMedNY Gateway connections, call the eMedNY Call Center at 800-343-9000.
**Paper Claims**

ALP providers who choose to submit their claims on paper forms must use the Centers for Medicare and Medicaid Services (CMS) standard **UB-04** claim form. To view the UB-04 claim form please click on the link provided below. The displayed claim form is a sample and the information it contains is for illustration purposes only.

[Assisted Living Program - UB-04 Sample Claim](#)

An ETIN and a Certification Statement are required to submit paper claims. Providers who have a valid ETIN for the submission of electronic claims do not need an additional ETIN for paper submissions. The ETIN and the associated certification qualifies the provider to submit claims in both electronic and paper formats.

**General Instructions for Completing Paper Claims**

Since the information entered on the claim form is captured via an automated data collection process (imaging), it is imperative that it be legible and placed appropriately in the required fields. The following guidelines will help ensure the accuracy of the imaging output.

- All information should be typed or printed.
- Alpha characters (letters) should be capitalized.
- Numbers should be written as close to the example below as possible:
  
  | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 0 |

- Circles (the letter O, the number 0) must be closed.
- Avoid unfinished characters. For example:

<table>
<thead>
<tr>
<th>Written As</th>
<th>Intended As</th>
<th>Interpreted As</th>
</tr>
</thead>
<tbody>
<tr>
<td>6. 0</td>
<td>6.00</td>
<td>6. 6 0</td>
</tr>
</tbody>
</table>
• When typing or printing, stay within the box provided; ensure that no characters (letters or numbers) touch the claim form lines. For example:

<table>
<thead>
<tr>
<th>Written As</th>
<th>Intended As</th>
<th>Interpreted As</th>
</tr>
</thead>
<tbody>
<tr>
<td>2</td>
<td>2</td>
<td>7</td>
</tr>
<tr>
<td>3</td>
<td>3</td>
<td>2</td>
</tr>
</tbody>
</table>

→ Two interpreted as seven
→ Three interpreted as two

• Characters should not touch each other. Example:

<table>
<thead>
<tr>
<th>Written As</th>
<th>Intended As</th>
<th>Interpreted As</th>
</tr>
</thead>
<tbody>
<tr>
<td>2</td>
<td>23</td>
<td>illegible</td>
</tr>
</tbody>
</table>

→ Entry cannot be interpreted properly

• Do not write between lines.

• Do not use arrows or quotation marks to duplicate information.

• Do not use the dollar sign ($) to indicate dollar amounts; do not use commas to separate thousands. For example, three thousand should be entered as 3000, not as 3,000.

• For writing, it is best to use a felt-tip pen with a fine point. Avoid ballpoint pens that skip; do not use pencils, highlighters, or markers. Only blue or black ink is acceptable.

• If entering information through a computer, ensure that all information is aligned properly, and that the printer ink is dark enough to provide clear legibility.

• Do not submit claim forms with corrections, such as information written over correction fluid or crossed-out information. If mistakes are made, a new form should be used.

• Separate forms using perforations; do not cut the edges.

• Do not fold the claim forms.

• Do not use adhesive labels (for example, address labels); do not place stickers on the form.
The address for submitting claim forms is:

COMPUTER SCIENCES CORPORATION
P.O. Box 4601
Rensselaer, NY 12144-4601

UB-04 Claim Form

To view the UB-04 claim form please click on the link provided below. The displayed claim form is a sample and the information it contains is for illustration purposes only.

Assisted Living Program - UB-04 Sample Claim

General Information about the UB-04 Form

The UB-04 CMS-1450 is a CMS standard form; therefore CSC does not supply it. The form can be obtained from any of the national suppliers.

The UB-04 Manual (National Uniform Billing Data Element Specifications as developed by the National Uniform Billing Committee – Current Revision) should be used in conjunction with this Provider Billing Guideline as a reference guide for the preparation of claims to be submitted to NYS Medicaid. The UB-04 manual is available at www.nubc.org.

Form Locators in this manual for which no instruction has been provided have no Medicaid application. These Form Locators are ignored when the claim is processed.

Billing Instructions for Assisted Living Program Services

This subsection of the Billing Guidelines covers the specific NYS Medicaid billing requirements for ALP providers. Although the instructions that follow are based on the UB-04 paper claim form, they are also intended as a guideline for electronic billers who should refer to these instructions for finding out what information they need to provide in their claims, what codes they need to use, etc.

It is important that providers adhere to the instructions outlined below. Claims that do not conform to the eMedNY requirements as described throughout this document may be rejected, pended, or denied.
Field-by-Field Instructions for the UB-04 Claim Form

PROVIDER NAME, ADDRESS, AND TELEPHONE NUMBER (Form Locator 1)

Enter the billing provider's name and address, using the following rules for submitting the ZIP code:

- **Paper claim submissions**: Enter the five-digit ZIP code or the ZIP plus four.
- **Electronic claim submissions**: Enter the nine-digit ZIP code.

Note: It is the responsibility of the provider to notify Medicaid of any change of address or other pertinent information within 15 days of the change. For information on where to direct address change requests, refer to Information for All Providers, Inquiry section which can be found on the web page for this manual.

PATIENT CONTROL NO. (Form Locator 3a)

For record-keeping purposes, the provider may choose to identify a patient by using an office account/patient control number. This field can accommodate up to 30 alphanumeric characters. If an office account/patient control number is indicated on the claim form, the first 20 characters will be returned on the Remittance Advice. Using an office account/patient control number can be helpful for locating accounts when there is a question on patient identification.

TYPE OF BILL (Form Locator 4)

Completion of this field is required for all provider types. All entries in this field must contain three digits. Each digit identifies a different category as follows:

- **1st digit** – Type of Facility
- **2nd digit** – Bill Classification
- **3rd digit** – Frequency

**Type of Facility**
Using the UB-04 Manual, Form Locator 4, Type of Facility category, select the code that best describes the facility type.

**Bill Classification**
Using the UB-04 Manual, Form Locator 4, Bill Classification category, select the code that best describes the type of service being claimed.
Frequency – Adjustment/Void Code
New York State Medicaid uses the third position of this field only to identify whether the claim is an original, a replacement (adjustment), or a void.

- If submitting an original claim, enter 0 (zero) in the third position of this field.

  Example:
  
  | 4 TYPE OF BILL |
  | XX0 |

- If submitting an adjustment to a previously paid claim, enter 7 in the third position of this field.

  Example:
  
  | 4 TYPE OF BILL |
  | XX7 |

- If submitting a void to a previously paid claim, enter 8 in the third position of this field.

  Example:
  
  | 4 TYPE OF BILL |
  | XX8 |

STATEMENT COVERS PERIOD FROM/THROUGH (Form Locator 6)
Enter the date(s) of service claimed in accordance with the instructions provided below:

- **When billing for one Date of Service**, enter the same date in the FROM and THROUGH boxes or leave the THROUGH box blank.

- **When billing for multiple consecutive service dates**, enter the first service date in the FROM box and the latest service date in the THROUGH box. The first and last service dates must be within the same calendar month.

Dates must be entered in the format MMDDYYYY.

Notes:

- Claims must be submitted within 90 days of the THROUGH date (last date) entered in this field unless acceptable circumstances for the delay can be documented. For more information about billing claims over 90 days from the earliest date of service, refer to Information for All Providers, General Billing section, which can be found on the web page for this manual.

- Do not include full days covered by Medicare or other third-party insurers as part of the period of service.
PATIENT NAME (Form Locator 8 – Line b)

Enter the patient’s last name followed by the first name.

BIRTHDATE (Form Locator 10)

Enter the patient’s birth date. The birth date must be in the format MMDDYYYY.

Example: Mary Brandon was born on March 5, 1935.

SEX (Form Locator 11)

Enter M for male or F for female to indicate the patient’s sex.

ADMISSION TYPE (Form Locators 12-15)

Leave all fields blank.

STAT [PATIENT STATUS] (Form Locator 17)

This field is used to indicate the specific condition or status of the patient as of the last date of service indicated in Form Locator 6. Select the appropriate code (except for 43 and 65) from the UB-04 manual.

CONDITION CODES (Form Locators 18–28)

Leave all fields blank.

OCCURRENCE CODE/DATE (Form Locators 31–34)

Leave all fields blank.

OCCURRENCE SPAN/DATE (Form Locators 35-36)

Leave all fields blank.
VALUE CODES (Form Locators 39–41)

NYS Medicaid uses Value Codes to report the following information:

- Locator Code (required: see notes for conditions)
- Rate Code (required)
- Patient Participation (only if applicable)
- Other Insurance Payment (only if applicable)
- Medicaid Covered Days (only if applicable)

Value Codes have two components: Code and Amount. The Code component is used to indicate the type of information reported. The Amount component is used to enter the information itself. Both components are required for each entry.

Locator Code - Value Code 61
For electronic claims, leave this field blank. For paper claims, enter the locator code assigned by NYS Medicaid.

Locator codes are assigned to the provider for each service address registered at the time of enrollment in the Medicaid program or at anytime, afterwards, that a new location is added.

Value Code
Code 61 should be used to indicate that a Locator Code is entered under Amount.

Value Amount
Entry must contain three digits and must be placed to the left of the dollars/cents delimiter.

Locator codes 001 and 002 are for administrative use only and are not to be entered in this field. The entry may be 003 or a higher locator code. Enter the locator code that corresponds to the address where the service was performed.
The example below illustrates a correct Locator Code entry.

Example:

<table>
<thead>
<tr>
<th>Code</th>
<th>Amount</th>
</tr>
</thead>
<tbody>
<tr>
<td>61</td>
<td>003</td>
</tr>
</tbody>
</table>

Note: The provider is reminded of the obligation to notify Medicaid of all service locations as well as changes to any of them. For information on where to direct Locator Code updates, refer to Information for All Providers, Inquiry section on the web page for this manual.

Rate Code - Value Code 24
Rates are established by the Department of Health. At the time of enrollment in Medicaid, providers receive notification of the Rate Codes/amounts assigned to their Category of Service. Any time that Rate Codes or amounts change, providers also receive notification from the Department of Health.

Rate codes indicate the level of health care services rendered; therefore, a rate must always be reported even if only co-insurance is being claimed.

Value Code
Code 24 should be used to indicate that a Rate Code is entered under Amount.

Value Amount
Enter the Rate Code that applies to the service rendered. The four-digit Rate Code must be entered to the left of the dollars/cents delimiter.

The example below illustrates a correct Rate Code entry:

Example:

<table>
<thead>
<tr>
<th>Code</th>
<th>Amount</th>
</tr>
</thead>
<tbody>
<tr>
<td>24</td>
<td>3801</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Code</th>
<th>Amount</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
</tr>
</tbody>
</table>
Patient Participation (NAMI) - Value Code 23

**Value Code**
Code 23 should be used to indicate that the patient’s Net Available Monthly Income (NAMI) amount is entered under Amount.

**Value Amount**
Enter the NAMI amount approved by the local Social Services agency as the patient’s monthly budget. In cases where the patient’s budget has increased, the new amount, rather than the current budgeted amount, should be entered. If billing occurs more than once a month, enter the full NAMI amount on the **first** claim submitted for the month as illustrated below:

**Example:**

<table>
<thead>
<tr>
<th>Code</th>
<th>Value Code S9</th>
<th>Amount</th>
</tr>
</thead>
<tbody>
<tr>
<td>a</td>
<td>23</td>
<td>100.00</td>
</tr>
<tr>
<td>b</td>
<td></td>
<td></td>
</tr>
<tr>
<td>c</td>
<td></td>
<td></td>
</tr>
<tr>
<td>d</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

**Note:** For retroactive NAMI changes, an adjustment to the previously paid claim needs to be submitted. These adjustments can only be submitted when approval for a budget change has been received from the LDSS.

Other Insurance Payment – Value Codes A3 or B3

If the patient has insurance other than Medicare, it is the responsibility of the provider to determine whether the service being billed for is covered by the patient’s Other Insurance carrier. If the service is covered or if the provider does not know if the service is covered, the provider must first submit a claim to the Other Insurance carrier, as Medicaid is always the payer of last resort.

**Value Code**
Code **A3** or **B3** should be used to indicate that the amount paid by an insurance carrier, other than Medicare, is entered under Amount. The line (A or B) assigned to the Insurance Carrier in Form Locator 50 determines the choice of codes **A3** or **B3**.

**Value Amount**
Enter the actual amount paid by the Other Insurance carrier. If the Other Insurance carrier denied payment enter 0.00. Proof of denial of payment must be maintained in the patient’s billing record. Zeroes must also be entered in this field if any of the following situations apply:
• Prior to billing the insurance company, the provider knows that the service will not be covered because:
  
  ► The provider has had a previous denial for payment for the service from the particular insurance policy. However, the provider should be aware that the service should be billed if the insurance policy changes. Proof of denials must be maintained in the patient’s billing record. Prior claims denied due to deductibles not being met are not to be counted as denials for subsequent billings.
  
  ► In very limited situations the Local Department of Social Services (LDSS) has advised the provider to zero-fill the Other Insurance payment for same type of service. This communication should be documented in the client's billing record.

• The provider bills the insurance company and receives a rejection because:
  
  ► The service is not covered; or
  
  ► The deductible has not been met.

• The provider cannot directly bill the insurance carrier and the policyholder is either unavailable or uncooperative in submitting claims to the insurance company. In these cases the LDSS must be notified prior to zero-filling. The LDSS has subrogation rights enabling it to complete claim forms on behalf of uncooperative policyholders who do not pay the provider for the services. The LDSS can direct the insurance company to pay the provider directly for the service whether or not the provider participates with the insurance plan. The provider should contact the third-party worker in the LDSS whenever he/she encounters policyholders who are uncooperative in paying for covered services received by their dependents who are on Medicaid. In other cases providers will be instructed to zero-fill the Other Insurance payment in the Medicaid claim and the LDSS will retroactively pursue the third-party resource.

• The patient or an absent parent collects the insurance benefits and fails to submit payment to the provider. The LDSS must be notified so that sanctions and/or legal action can be brought against the patient or absent parent.

• The provider is instructed to zero-fill by the LDSS for circumstances not listed above.
The following example illustrates a correct Other Insurance Payment entry.

Example:

<table>
<thead>
<tr>
<th></th>
<th>VALUE CODES</th>
</tr>
</thead>
<tbody>
<tr>
<td>a</td>
<td>Code 80</td>
</tr>
<tr>
<td>b</td>
<td>100.00</td>
</tr>
</tbody>
</table>

**Medicaid Covered Days – Value Code 80**

**Value Code**
Code 80 should be used to indicate the total number of days that are covered by Medicaid.

**Value Amount**
Enter the actual amount of days covered by Medicaid. The sum of Medicaid full covered days must correspond to the Statement Covers Period in Form Locator 6 and should not reflect the day of discharge. The Covered Days must be entered to the left of the dollars/cents delimiter.

The example below illustrates a correct Medicaid Covered Days entry:

Example:

<table>
<thead>
<tr>
<th></th>
<th>VALUE CODES</th>
</tr>
</thead>
<tbody>
<tr>
<td>a</td>
<td>Code 80</td>
</tr>
<tr>
<td>b</td>
<td>30.00</td>
</tr>
</tbody>
</table>

**REV. CD. [Revenue Code] (Form Locator 42)**

Revenue Codes identify specific accommodations, ancillary services, or billing calculations. NYS Medicaid uses Revenue Codes to identify Total Charges as described below.

**Total Charges**
Use Revenue Code 0001 to indicate that total charges are entered in Form Locator 47.
SERV. UNITS (Form Locator 46)

 Leave this field blank.

TOTAL CHARGES (Form Locator 47)

 Enter the total amount charged for the service(s) rendered. This is computed by multiplying the total number of full days times the per diem rate. The charged amount must be entered on the line corresponding to Revenue Code 0001 and both sections of the field (dollars and cents) must be completed. If the charges contain no cents, enter 00 in the cents box.

Example:

<table>
<thead>
<tr>
<th>4A REV CODE</th>
<th>4B DESCRIPTION</th>
<th>4W HCPCSE HCP/HCPCS CODE</th>
<th>4C SERV DATE</th>
<th>46 SERV UNITS</th>
<th>47 TOTAL CHARGES</th>
<th>48 NON-COVERED CHARGES</th>
</tr>
</thead>
<tbody>
<tr>
<td>0001</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td>3000.00</td>
<td>.</td>
</tr>
</tbody>
</table>

PAYER NAME (Form Locator 50 A, B, C)

 This field identifies the payer(s) responsible for the claim payment. For NYS Medicaid billing, payers are classified into three main categories: Medicare, Commercial (any insurance other than Medicare), and Medicaid. Medicaid is always the payer of last resort. Complete this field in accordance to the following instructions.

Direct Medicaid Claim—No Third Party Involved

 Enter the word Medicaid on line A of this field. Leave lines B and C blank.

Medicaid/Third Party (Other Than Medicare) Claim

- Enter the name of the Other Insurance Carrier on line A of this field.
- Enter the word Medicaid on line B of this field.
- Leave line C blank.

NPI (Form Locator 56)

 Leave this field blank.
OTHER PRV ID [Other Provider ID] (Form Locator 57)

The Medicaid Provider ID number is the eight-digit identification number assigned to providers at the time of enrollment in the Medicaid program.

Enter the Medicaid Provider ID number on the line (A, B, or C) that corresponds to the line assigned to Medicaid in Form Locator 50. If the provider’s Medicaid ID number is entered in lines B or C, the lines above the Medicaid ID number must contain either the provider’s ID for the other payer(s) or the word NONE.

INSURED’S UNIQUE ID (Form Locator 60)

Enter the patient’s Medicaid ID number (Client ID number) as it appears on the Common Benefit Identification Card or Prior Approval Roster. Medicaid Client ID numbers are assigned by the State of New York and are composed of eight characters in the format AANNNNNA, where A=alpha character and N=numeric character.

Example: AB12345C

The Medicaid ID should be entered on the line (A, B, or C) that corresponds to the line assigned to Medicaid in Form Locators 50 and 57. If the patient’s Medicaid ID number is entered on lines B or C, the lines above the Medicaid ID number must contain either the patient’s ID for the other payer(s) or the word NONE.

TREATMENT AUTHORIZATION CODES (Form Locator 63)

If the service requires Prior Approval, enter the 11-digit Prior Approval Number here. The Prior Approval Number must be entered on the line (A, B, or C) that corresponds to the line assigned to Medicaid in Form Locators 50 and 57. If the Prior Approval number is entered on lines B or C, the word NONE must be written on the line(s) above the Prior Approval line.

Leave this field blank if the service does not require Prior Approval.

Note: For information regarding how to obtain Prior Approval/Authorization for specific services, refer to the Policy Guidelines section available at www.emedny.org by clicking on the link to the web page below:

ALP Manual
**DOCUMENT CONTROL NUMBER (Form Locator 64 A, B, C)**

Leave this field blank when submitting an original claim or a resubmission of a denied claim.

If submitting an Adjustment (replacement) or a Void to a previously paid claim, this field must be used to enter the Transaction Control Number (TCN) assigned to the claim to be adjusted or voided. The TCN is the claim identifier and is listed in the Remittance Advice. If a TCN is entered in this field, the third position of Form Locator 4, Type of Bill, must be 7 or 8.

The TCN must be entered on the line (A, B, or C) that matches the line assigned to Medicaid in Form Locators 50 and 57. If the TCN is entered on lines B or C, the word NONE must be written on the line(s) above the TCN line.

**Adjustments**

An adjustment is submitted to correct one or more fields of a previously paid claim. Any field, except the Provider ID number or the Patient’s Medicaid ID number, can be adjusted. The adjustment must be submitted in a new claim form (copy of the original form is unacceptable) and all applicable fields must be completed. An adjustment is identified by the value 7 in the third position of Form Locator 4, Type of Bill, and the claim to be adjusted is identified by the TCN entered in this field (Form Locator 64).

Adjustments cause the correction of the adjusted information in the claim history records as well as the cancellation of the original claim payment and the re-pricing of the claim based on the adjusted information.

**Voids**

A void is submitted to nullify a paid claim. The void must be submitted in a new claim form (copy of the original form is unacceptable) and all applicable fields must be completed. A void is identified by the value 8 in the third position of Form Locator 4, Type of Bill, and the claim to be voided is identified by the TCN entered in this field (Form Locator 64).

A void causes the cancellation of the original claim history records and payment.

**UNTITLED [PRINCIPAL DIAGNOSIS CODE] (Form Locator 67)**

This field must be completed upon admission of a patient, if there is any change in the diagnosis (including a diagnosis change for a patient on bed reservation), and when a patient is discharged.

Leave blank if the entry in Form Locator 17 (Patient Status) indicates that the patient is still a patient. The remaining Form Locators labeled A – Q may be used to indicate secondary diagnosis information.
Using the *International Classification of Diseases, Ninth Edition, Clinical Modification* (ICD-9-CM) coding system, enter the appropriate code that describes the main condition or symptom of the patient. The ICD-9-CM code must be entered exactly as it is listed in the manual.

**Example:**

![268.0](image)

**Note:** Three-digit and four-digit diagnosis codes will be accepted only when the category has no subcategories.

<table>
<thead>
<tr>
<th>Code</th>
<th>Condition</th>
<th>Acceptability to Medicaid</th>
<th>Subcategories</th>
</tr>
</thead>
<tbody>
<tr>
<td>267</td>
<td>Ascorbic Acid Deficiency</td>
<td>Acceptable to Medicaid</td>
<td>(no subcategories)</td>
</tr>
<tr>
<td>268</td>
<td>Vitamin D Deficiency</td>
<td>Not acceptable to Medicaid</td>
<td>(subcategories exist)</td>
</tr>
</tbody>
</table>

**Acceptable Diagnosis Codes:**

- 267
- 268.0
- 268.1

**PRINCIPAL PROCEDURE (Form Locator 74)**

Leave this field blank.

**OTHER (Form Locator 78)**

NYS Medicaid uses this field to report the Referring/Destination/Previous Provider.

Complete this field only if an admission or a discharge (other than to home or self care) occurred during the service period covered by this statement (Form Locator 6).

If no admission or discharge occurred or if the patient was discharged to home or self-care leave this field blank.

**For an admission**

Enter the Medicaid ID Number of the referring/revious provider. If the referring/previous provider is not enrolled in NYS Medicaid, enter his/her license number (see instructions for entering a license number below).

**Note:** If the patient is admitted from home, enter the provider number or license number (see instructions for entering a license number below) provider ID of the physician who last examined the patient and determined that nursing home care was appropriate.
For a bed reservation
Enter the Medicaid ID number of the practitioner who admitted the patient to the hospital.

For a discharge
Enter the Medicaid ID number of the destination provider (hospital, nursing home, etc.). If the destination provider is not enrolled in NYS Medicaid, enter the license number of this provider (see instructions for entering a license number below).

If the patient is discharged to home or to an unlicensed facility, such as a VA Hospital, enter the Medicaid ID Number or the license number (see instructions for entering a license number below) of the physician who last examined the patient and made the discharge determination.

Instructions for Entering a Medicaid Provider ID Number
After the word “QUAL,” leave the first box blank to indicate the Medicaid Provider ID number of the provider is entered in the field to the right of the qualifier.

On the line below the ID numbers, enter the last name and first name of the provider. If the Referring/Destination/Previous provider is another facility, enter the facility’s name in the “Last” box.

Example:
The ordering/referring provider is John Smith who is enrolled in Medicaid with ID number 01234567.

Instructions for Entering a License Number
New York State License
Enter the first two digits of the Profession Code in the box to the right of the box labeled “QUAL”. In the next box to the right, enter the 3rd digit of the Profession Code and an eight-digit license number. If necessary, place zero(s) between the profession code and the license number to enter a nine-digit number in the field.

Profession Codes can be found at www.emedny.org by clicking on the link to the web page below:

Crosswalks
On the line below the ID numbers, enter the last name and first name of the provider. If the Referring/Destination/Previous provider is another facility, enter the facility’s name in the “Last” box.

**Example:**
The ordering/referring provider is Paul Johnson who is not enrolled in Medicaid. The provider’s NY State license number is 135790. Profession Code is 060.

<table>
<thead>
<tr>
<th>LAST JOHNSON</th>
<th>FIRST PAUL</th>
</tr>
</thead>
</table>

**Out-of-State License**
If entering an out-of-state license, enter the first two digits of the Profession Code in the box to the right of the box labeled “QUAL”. In the next box to the right, enter the 3rd digit of the Profession Code. Enter the Post Office State Abbreviation from the table below followed by the license number. If necessary, place zero(s) after the state abbreviation to use all nine digits in this field. If the license number is greater than six characters, enter only the first six characters, as entries in this Form Locator cannot be greater than nine characters.

Profession Codes can be found at www.emedny.org by clicking on the link to the web page below:

**Crosswalks**

Post Office State Abbreviations can be found at the end of this section.

**Example:**
The ordering/referring provider is Mary Robinson from Massachusetts. Her Massachusetts license number is 579246. Profession Code is 060.

<table>
<thead>
<tr>
<th>LAST ROBINSON</th>
<th>FIRST MARY</th>
</tr>
</thead>
</table>
### United States Standard Postal Abbreviations

<table>
<thead>
<tr>
<th>State</th>
<th>Abbrev.</th>
<th>State</th>
<th>Abbrev.</th>
</tr>
</thead>
<tbody>
<tr>
<td>Alabama</td>
<td>AL</td>
<td>Missouri</td>
<td>MO</td>
</tr>
<tr>
<td>Alaska</td>
<td>AK</td>
<td>Montana</td>
<td>MT</td>
</tr>
<tr>
<td>Arizona</td>
<td>AZ</td>
<td>Nebraska</td>
<td>NE</td>
</tr>
<tr>
<td>Arkansas</td>
<td>AR</td>
<td>Nevada</td>
<td>NV</td>
</tr>
<tr>
<td>California</td>
<td>CA</td>
<td>New Hampshire</td>
<td>NH</td>
</tr>
<tr>
<td>Colorado</td>
<td>CO</td>
<td>New Jersey</td>
<td>NJ</td>
</tr>
<tr>
<td>Connecticut</td>
<td>CT</td>
<td>New Mexico</td>
<td>NM</td>
</tr>
<tr>
<td>Delaware</td>
<td>DE</td>
<td>North Carolina</td>
<td>NC</td>
</tr>
<tr>
<td>District of Columbia</td>
<td>DC</td>
<td>North Dakota</td>
<td>ND</td>
</tr>
<tr>
<td>Florida</td>
<td>FL</td>
<td>Ohio</td>
<td>OH</td>
</tr>
<tr>
<td>Georgia</td>
<td>GA</td>
<td>Oklahoma</td>
<td>OK</td>
</tr>
<tr>
<td>Hawaii</td>
<td>HI</td>
<td>Oregon</td>
<td>OR</td>
</tr>
<tr>
<td>Idaho</td>
<td>ID</td>
<td>Pennsylvania</td>
<td>PA</td>
</tr>
<tr>
<td>Illinois</td>
<td>IL</td>
<td>Rhode Island</td>
<td>RI</td>
</tr>
<tr>
<td>Indiana</td>
<td>IN</td>
<td>South Carolina</td>
<td>SC</td>
</tr>
<tr>
<td>Iowa</td>
<td>IA</td>
<td>South Dakota</td>
<td>SD</td>
</tr>
<tr>
<td>Kansas</td>
<td>KS</td>
<td>Tennessee</td>
<td>TN</td>
</tr>
<tr>
<td>Kentucky</td>
<td>KY</td>
<td>Texas</td>
<td>TX</td>
</tr>
<tr>
<td>Louisiana</td>
<td>LA</td>
<td>Utah</td>
<td>UT</td>
</tr>
<tr>
<td>Maine</td>
<td>ME</td>
<td>Vermont</td>
<td>VT</td>
</tr>
<tr>
<td>Maryland</td>
<td>MD</td>
<td>Virginia</td>
<td>VA</td>
</tr>
<tr>
<td>Massachusetts</td>
<td>MA</td>
<td>Washington</td>
<td>WA</td>
</tr>
<tr>
<td>Michigan</td>
<td>MI</td>
<td>West Virginia</td>
<td>WV</td>
</tr>
<tr>
<td>Minnesota</td>
<td>MN</td>
<td>Wisconsin</td>
<td>WI</td>
</tr>
<tr>
<td>Mississippi</td>
<td>MS</td>
<td>Wyoming</td>
<td>WY</td>
</tr>
</tbody>
</table>

**American Territories**

| American Samoa     | AS      |
| Canal Zone         | CZ      |
| Guam               | GU      |
| Puerto Rico        | PR      |
| Trust Territories  | TT      |
| Virgin Islands     | VI      |

**Note:** Postal codes are only required when reporting out-of-state license numbers.
Section III – Remittance Advice

The purpose of this section is to familiarize the provider with the design and contents of the Remittance Advice.

eMedNY produces remittance advices on a weekly (processing cycle) basis. Weekly remittance advices contain the following information:

- A listing of all claims (identified by several pieces of information as submitted on the claim) that have entered the computerized processing system during the corresponding cycle.
- The status of each claim (deny/paid/pend) after processing.
- The eMedNY edits (errors) failed by pending or denied claims.
- Subtotals (by category, status, locator code and member ID) and grand totals of claims and dollar amounts.
- Other financial information such as recoupments, negative balances, etc.

The remittance advice, in addition to showing a record of claim transactions, can assist providers in identifying and correcting billing errors and plays an important role in the communication between the provider and the eMedNY Contractor for resolving billing or processing issues.

Remittance advices are available in electronic and paper formats.

Electronic Remittance Advice

The electronic HIPAA 835 transaction (Remittance Advice) is available via the eMedNY eXchange or FTP. To request the electronic remittance advice (835), providers must complete the Electronic Remittance Request Form, which is available at www.emedny.org by clicking on the link to the web page below:

Provider Enrollment Forms

For additional information, providers may also call the eMedNY Call Center at 800-343-9000.

The NYS Medicaid Companion Guides for the 835 transaction are available at www.emedny.org by clicking on the link to the web page below:

eMedNY Companion Guides and Sample Files
Providers who submit claims under multiple ETINs receive a separate 835 for each ETIN and a separate check for each 835. Also, any 835 transaction can contain a maximum of ten thousand (10,000) claim lines; any overflow will generate a separate 835 and a separate check.

Providers with multiple ETINs who choose to receive the 835 electronic remittance advice may elect to receive the status of paper claim submissions and state-submitted adjustments/voids in the 835 format. The request must be submitted using the Electronic Remittance Request Form located at www.emedny.org. If this option is chosen, no paper remittance will be produced and the status of claims will appear on the electronic 835 remittance advice for the ETIN indicated on the request form. Retro-adjustment information is also sent in the 835 transaction format. Pending claims do not appear in the 835 transaction; they are listed in the Supplemental file, which will be sent along with the 835 transaction for any processing cycle that produces pends.

Note: Providers with only one ETIN who elect to receive an electronic remittance will have the status of any claims submitted via paper forms and state-submitted adjustments/voids reported on that electronic remittance.

**Paper Remittance Advice**

Remittance advices are also available on paper. Providers who bill electronically but do not specifically request to receive the 835 transaction are sent paper remittance advices.

**Remittance Sorts**

The default sort for the paper remittance advice is:
Claim Status (denied, paid, pending) – Patient ID – TCN

Providers can request other sort patterns that may better suit their accounting systems. The additional sorts available are as follows:

- TCN – Claim Status – Patient ID – Date of Service
- Patient ID – Claim Status – TCN
- Date of Service – Claim Status – Patient ID

To request a sort pattern other than the default, providers **must** complete the Paper Remittance Sort Request Form which is available at www.emedny.org by clicking on the link to the web page below:

**Provider Enrollment Forms**
Remittance Advice Format

The remittance advice is composed of five sections as described below.

- **Section One** may be one of the following:
  - Medicaid Check
  - Notice of Electronic Funds Transfer
  - Summout (no claims paid)

- **Section Two**: Provider Notification (special messages)

- **Section Three**: Claim Detail

- **Section Four**:
  - Financial Transactions (recoupments)
  - Accounts Receivable (cumulative financial information)

- **Section Five**: Edit (Error) Description

Explanation of Remittance Advice Sections

The next pages present a sample of each section of the remittance advice for Assisted Living Services followed by an explanation of the elements contained in the section.

The information displayed in the remittance advice samples is for illustration purposes only. The following information applies to a remittance advice with the default sort pattern.
Section One – Medicaid Check

For providers who have selected to be paid by check, a Medicaid check is issued when the provider has claims approved for the cycle and the approved amount is greater than the recoupments, if any, scheduled for the cycle. This section contains the check stub and the actual Medicaid check (payment).

TO: ANYTOWN NURSING HOME                     DATE: 2007-08-06
REMITTANCE NO: 07080600001                                             REMITTANCE NO: 07080600001
PROV ID: 00555555                                             PROV ID: 00555555

00555555 2007-08-06
ANYTOWN NURSING HOME
2332 MAIN ST
ANYTOWN                     NY                  11111

YOUR CHECK IS BELOW – TO DETACH, TEAR ALONG PERFORATED DASHED LINE

<table>
<thead>
<tr>
<th>DATE</th>
<th>REMITTANCE NUMBER</th>
<th>PROVIDER ID NO.</th>
<th>PAY</th>
</tr>
</thead>
<tbody>
<tr>
<td>2007-08-06</td>
<td>070806000001</td>
<td>00555555</td>
<td>$******719.00</td>
</tr>
</tbody>
</table>

ANYTOWN NURSING HOME
2332 MAIN ST
ANYTOWN                     NY                  11111

MEDICAL ASSISTANCE (TITLE XIX) PROGRAM
CHECKS DRAWN ON
KEY BANK N.A.
60 STATE STREET, ALBANY, NEW YORK 12207

John Smith
AUTHORIZED SIGNATURE
Check Stub Information

UPPER LEFT CORNER
Provider’s name (as recorded in the Medicaid files)

UPPER RIGHT CORNER
Date on which the remittance advice was issued
Remittance number
PROV ID: This field will contain the Medicaid Provider ID

CENTER
Medicaid Provider ID/Date
Provider’s name/Address

Medicaid Check

LEFT SIDE
Table
  Date on which the check was issued
  Remittance number
  Provider ID No.: This field will contain the Medicaid Provider ID

Provider’s name/Address

RIGHT SIDE
Dollar amount. This amount must equal the Net Total Paid Amount under the Grand Total subsection plus the total sum of the Financial Transaction section.
Section One – EFT Notification

For providers who have selected electronic funds transfer (or direct deposit), an EFT transaction is processed when the provider has claims approved during the cycle and the approved amount is greater than the recoupments, if any, scheduled for the cycle. This section indicates the amount of the EFT.

TO: ANYTOWN NURSING HOME                   DATE: 08-06-2007
REMITTANCE NO: 0080600001
PROV ID: 00555555

00555555 08-06-2007
ANYTOWN NURSING HOME
2332 MAIN ST
ANYTOWN, NY 11111

ANYTOWN NURSING HOME $1462.20

PAYMENT IN THE ABOVE AMOUNT WILL BE DEPOSITED VIA AN ELECTRONIC FUNDS TRANSFER.
Information on the EFT Notification Page

UPPER LEFT CORNER
Provider’s name (as recorded in the Medicaid files)

UPPER RIGHT CORNER
Date on which the remittance advice was issued
Remittance number
PROV ID: This field will contain the Medicaid Provider ID

CENTER
Medicaid Provider ID/ Date: This field will contain the Medicaid Provider ID
Provider’s name/Address

Provider’s Name – Amount transferred to the provider’s account. This amount must equal the Net Total Paid Amount under the Grand Total subsection plus the total sum of the Financial Transaction section.
Section One – Summout (No Payment)

A summout is produced when the provider has no positive total payment for the cycle and, therefore, there is no disbursement of moneys.
Information on the Summout Page

**UPPER LEFT CORNER**
Provider Name (as recorded in Medicaid files)

**UPPER RIGHT CORNER**
Date on which the remittance advice was issued
Remittance number
PROV ID: This field will contain the Medicaid Provider ID

**CENTER**
Notification that no payment was made for the cycle (no claims were approved)
Provider name and address
Section Two – Provider Notification

This section is used to communicate important messages to providers.

**REMITTANCE ADVICE MESSAGE TEXT**

*** ELECTRONIC FUNDS TRANSFER (EFT) FOR PROVIDER PAYMENTS IS NOW AVAILABLE ***

PROVIDERS WHO ENROLL IN EFT WILL HAVE THEIR MEDICAID PAYMENTS DIRECTLY DEPOSITED INTO THEIR CHECKING OR SAVINGS ACCOUNT.

THE EFT TRANSACTIONS WILL BE INITIATED ON WEDNESDAYS AND DUE TO NORMAL BANKING PROCEDURES, THE TRANSFERRED FUNDS MAY NOT BECOME AVAILABLE IN THE PROVIDER’S CHOSEN ACCOUNT FOR UP TO 48 HOURS AFTER TRANSFER. PLEASE CONTACT YOUR BANKING INSTITUTION REGARDING THE AVAILABILITY OF FUNDS.

PLEASE NOTE THAT EFT DOES NOT WAIVE THE TWO-WEEK LAG FOR MEDICAID DISBURSEMENTS.

TO ENROLL IN EFT, PROVIDERS MUST COMPLETE AN EFT ENROLLMENT FORM THAT CAN BE FOUND AT WWW.EMEDNY.ORG. CLICK ON PROVIDER ENROLLMENT FORMS WHICH CAN BE FOUND IN THE FEATURED LINKS SECTION. DETAILED INSTRUCTIONS WILL ALSO BE FOUND THERE.

AFTER SENDING THE EFT ENROLLMENT FORM TO CSC, PLEASE ALLOW A MINIMUM TIME OF SIX TO EIGHT WEEKS FOR PROCESSING. DURING THIS PERIOD OF TIME YOU SHOULD REVIEW YOUR BANK STATEMENTS AND LOOK FOR AN EFT TRANSACTION IN THE AMOUNT OF $0.01 WHICH CSC WILL SUBMIT AS A TEST. YOUR FIRST REAL EFT TRANSACTION WILL TAKE PLACE APPROXIMATELY FOUR TO FIVE WEEKS LATER.

IF YOU HAVE ANY QUESTIONS ABOUT THE EFT PROCESS, PLEASE CALL THE EMEDNY CALL CENTER AT 1-800-343-9000.

NOTICE: THIS COMMUNICATION AND ANY ATTACHMENTS MAY CONTAIN INFORMATION THAT IS PRIVILEGED AND CONFIDENTIAL UNDER STATE AND FEDERAL LAW AND IS INTENDED ONLY FOR THE USE OF THE SPECIFIC INDIVIDUAL(S) TO WHOM IT IS ADDRESSED. THIS INFORMATION MAY ONLY BE USED OR DISCLOSED IN ACCORDANCE WITH LAW, AND YOU MAY BE SUBJECT TO PENALTIES UNDER LAW FOR IMPROPER USE OR FURTHER DISCLOSURE OF INFORMATION IN THIS COMMUNICATION AND ANY ATTACHMENTS. IF YOU HAVE RECEIVED THIS COMMUNICATION IN ERROR, PLEASE IMMEDIATELY NOTIFY NYHIPPADESK@CSC.COM OR CALL 1-800-541-2831. PROVIDERS WHO DO NOT HAVE ACCESS TO E-MAIL SHOULD CONTACT 1-800-343-9000.
Information on the Provider Notification Page

**UPPER LEFT CORNER**
Provider’s name and address

**UPPER RIGHT CORNER**
Remittance page number
Date on which the remittance advice was issued
Cycle number

ETIN (not applicable)
Name of section: **PROVIDER NOTIFICATION**
PROV ID: This field will contain the Medicaid Provider ID
Remittance number

**CENTER**
Message text
Section Three – Claim Detail

This section provides a listing of all new claims that were processed during the specific cycle plus claims that were previously pended and denied during the specific cycle. This section may also contain claims that pended previously.

<table>
<thead>
<tr>
<th>CLIENT NAME</th>
<th>TCN</th>
<th>PATIENT ACCOUNT NUMBER</th>
<th>SERVICE DATES FROM</th>
<th>SERVICE DATES THRU</th>
<th>RATE CODE</th>
<th>REP'TED DAYS CALCED</th>
<th>FULL DAYS CO-INSURANCE DAYS PAYMENT</th>
<th>PATIENT PARTICIPATION REPORTED</th>
<th>OTHER INSURANCE</th>
<th>AMOUNT CHARGED</th>
<th>AMOUNT PAID</th>
<th>STATUS</th>
<th>ERRORS</th>
</tr>
</thead>
<tbody>
<tr>
<td>JONES</td>
<td>07206-000000112-3-0</td>
<td>CPIC1-00974-6</td>
<td>07/02/07</td>
<td>07/06/07</td>
<td>3301</td>
<td>5</td>
<td>0.00</td>
<td>0.00</td>
<td>0.00</td>
<td>387.81</td>
<td>0.00</td>
<td>DENY  01023 01035</td>
<td></td>
</tr>
<tr>
<td>GRANT</td>
<td>07206-000000111-1-0</td>
<td>CPIC1-00974-6</td>
<td>07/02/07</td>
<td>07/06/07</td>
<td>3301</td>
<td>5</td>
<td>0.00</td>
<td>0.00</td>
<td>0.00</td>
<td>387.81</td>
<td>0.00</td>
<td>DENY  01023</td>
<td></td>
</tr>
</tbody>
</table>

* = PREVIOUSLY PENDED CLAIM
** = NEW PEND

TOTAL AMOUNT ORIGINAL CLAIMS DENIED 775.62 NUMBER OF CLAIMS 2
NET AMOUNT ADJUSTMENTS DENIED 0.00 NUMBER OF CLAIMS 0
NET AMOUNT VOIDS DENIED 0.00 NUMBER OF CLAIMS 0
NET AMOUNT VOIDS – ADJUSTS 0.00 NUMBER OF CLAIMS 0
MEDICAL ASSISTANCE (TITLE XIX) PROGRAM
REMITTANCE STATEMENT

TO: ANYTOWN NURSING HOME
BUSINESS OFFICE
2332 MAIN STREET
ANYTOWN, NEW YORK 11111

ETIN: NURSING HOME
PROV ID: 00555555
REMITTANCE NO: 0708000001

<table>
<thead>
<tr>
<th>CLIENT NAME</th>
<th>ID NUMBER</th>
<th>TON</th>
<th>PATIENT ACCOUNT NUMBER</th>
<th>SERVICE</th>
<th>RATE CODE</th>
<th>REPEATED</th>
<th>CALC'ED DAYS F</th>
<th>FULL DAYS CO-INSURANCE DAYS PAYMENT</th>
<th>PATIENT PARTICIPATION REPORTED</th>
<th>OTHER INSURANCE</th>
<th>AMOUNT CHARGED</th>
<th>AMOUNT PAID</th>
<th>STATUS ERRORS</th>
</tr>
</thead>
<tbody>
<tr>
<td>CARLISLE</td>
<td>07206-000044456-0-0</td>
<td>3301</td>
<td>5</td>
<td>0</td>
<td>387.81</td>
<td>0.00</td>
<td>0.00</td>
<td>0.00</td>
<td>387.81</td>
<td>PAID</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>AD12344J</td>
<td>07206-000043321-0-0</td>
<td>3301</td>
<td>5</td>
<td>0</td>
<td>387.81</td>
<td>0.00</td>
<td>0.00</td>
<td>0.00</td>
<td>387.81</td>
<td>PAID</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>BB60000T</td>
<td>07206-00004321-6</td>
<td>3301</td>
<td>5</td>
<td>0</td>
<td>387.81</td>
<td>0.00</td>
<td>0.00</td>
<td>0.00</td>
<td>387.81</td>
<td>PAID</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>THOMAS</td>
<td>07206-000032456-0-0</td>
<td>3301</td>
<td>5</td>
<td>0</td>
<td>387.81</td>
<td>0.00</td>
<td>0.00</td>
<td>0.00</td>
<td>387.81</td>
<td>PAID</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>JENSON</td>
<td>07206-000044456-0-0</td>
<td>3301</td>
<td>5</td>
<td>0</td>
<td>387.81</td>
<td>0.00</td>
<td>0.00</td>
<td>0.00</td>
<td>387.81</td>
<td>PAID</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>RODRIGUEZ</td>
<td>07206-000044456-0-0</td>
<td>3301</td>
<td>5</td>
<td>0</td>
<td>387.81</td>
<td>0.00</td>
<td>0.00</td>
<td>0.00</td>
<td>387.81</td>
<td>PAID</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>QA88833B</td>
<td>07206-000044456-0-0</td>
<td>3301</td>
<td>5</td>
<td>0</td>
<td>387.81</td>
<td>0.00</td>
<td>0.00</td>
<td>0.00</td>
<td>387.81</td>
<td>PAID</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>RODRIGUEZ</td>
<td>07206-000044456-0-0</td>
<td>3301</td>
<td>5</td>
<td>0</td>
<td>387.81</td>
<td>0.00</td>
<td>0.00</td>
<td>0.00</td>
<td>387.81</td>
<td>PAID</td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

* = PREVIOUSLY PENDED CLAIM
** = NEW PEND

TOTAL AMOUNT ORIGINAL CLAIMS PAID 1551.24
NET AMOUNT ADJUSTMENTS PAID 89.04-
NET AMOUNT Voids PAID 0.00

NUMBER OF CLAIMS
5
1
0
1

Page 03 of 51
### MEDICAID MANAGEMENT INFORMATION SYSTEM

**MEDICAL ASSISTANCE (TITLE XIX) PROGRAM**

**REMITTANCE STATEMENT**

<table>
<thead>
<tr>
<th>CLIENT NAME</th>
<th>TCN</th>
<th>PATIENT ACCOUNT NUMBER</th>
<th>SERVICE DATES FROM THRU</th>
<th>RATE CODE</th>
<th>REPTED CALCED DAYS</th>
<th>FULL DAYS CO-INSURANCE DAYS PAYMENT</th>
<th>PATIENT PARTICIPATION REPORTED</th>
<th>OTHER INSURANCE</th>
<th>AMOUNT CHARGED</th>
<th>AMOUNT PAID</th>
<th>STATUS ERRORS</th>
</tr>
</thead>
<tbody>
<tr>
<td>CARLSON</td>
<td>AB12345J</td>
<td>07206-000000112-3-0</td>
<td>07/02/07 - 07/06/07</td>
<td>3301</td>
<td>5</td>
<td>0.00</td>
<td>0.00</td>
<td>0.00</td>
<td>0.00</td>
<td>387.81</td>
<td><strong>PEND 00162 00971</strong></td>
</tr>
<tr>
<td>GRANT</td>
<td>WX80074T</td>
<td>07206-000000111-3-0</td>
<td>07/02/07 - 07/06/07</td>
<td>3301</td>
<td>5</td>
<td>0.00</td>
<td>0.00</td>
<td>0.00</td>
<td>0.00</td>
<td>387.81</td>
<td><strong>PEND 01131</strong></td>
</tr>
</tbody>
</table>

* = PREVIOUSLY PENDED CLAIM
** = NEW PEND

---

**REMITTANCE TOTALS – NURSING HOME**

- **VOIDS – ADJUSTS**: 89.04, NUMBER OF CLAIMS: 1
- **TOTAL PENDS**: 775.62, NUMBER OF CLAIMS: 2
- **TOTAL PAID**: 1551.24, NUMBER OF CLAIMS: 5
- **TOTAL DENY**: 775.62, NUMBER OF CLAIMS: 2
- **NET TOTAL PAID**: 1462.20, NUMBER OF CLAIMS: 5

**MEMBER ID**: 12345678

- **VOIDS – ADJUSTS**: 89.04, NUMBER OF CLAIMS: 1
- **TOTAL PENDS**: 775.62, NUMBER OF CLAIMS: 2
- **TOTAL PAID**: 1551.24, NUMBER OF CLAIMS: 5
- **TOTAL DENY**: 775.62, NUMBER OF CLAIMS: 2
- **NET TOTAL PAID**: 1462.20, NUMBER OF CLAIMS: 5

---

 Obersteinstett, New York 11111
<table>
<thead>
<tr>
<th>Description</th>
<th>Value</th>
<th>Number of Claims</th>
</tr>
</thead>
<tbody>
<tr>
<td>Voids</td>
<td>89.04</td>
<td>1</td>
</tr>
<tr>
<td>Total Pends</td>
<td>775.62</td>
<td>2</td>
</tr>
<tr>
<td>Total Paid</td>
<td>1551.24</td>
<td>5</td>
</tr>
<tr>
<td>Total Deny</td>
<td>775.62</td>
<td>2</td>
</tr>
<tr>
<td>Net Total Paid</td>
<td>1462.20</td>
<td>33</td>
</tr>
</tbody>
</table>
General Information on the Claim Detail Pages

**UPPER LEFT CORNER**
Provider’s name and address

**UPPER RIGHT CORNER**
Remittance page number
Date on which the remittance advice was issued
Cycle number. The cycle number should be used when calling the eMedNY Call Center with questions about specific processed claims or payments.

ETIN (not applicable)
Provider Service Classification: **NURSING HOME**
PROV ID: This field will contain the Medicaid Provider ID
Remittance number

Explanation of the Claim Detail Columns

**CLIENT NAME/ID NUMBER**
This column indicates the last name of the patient (first line) and the Medicaid Client ID (second line). If an invalid Medicaid Client ID was entered in the claim form, the ID will be listed as it was submitted but no name will appear in this column.

**TCN/PATIENT ACCOUNT NUMBER**
The TCN (first line) is a unique identifier assigned to each claim that is processed.

If a Patient Account Number was entered in the claim form, that number (up to 20 characters) will appear under this column (second line).

**SERVICE DATES – FROM/THROUGH**
The first date of service covered by the claim (From date) appears on the first line; the last date of service (Through date) appears on the second line.

**RATE CODE**
The four-digit rate code that was entered in the claim form appears under this column.

**REPORTED/CALCULATED DAYS**
This column has two sub-columns: one is labeled **F (full days)** and the other is labeled **C (co-insurance days)**.

The number of days within the reported first (FROM) service date and the last (THROUGH) service date appear in the first line under the F sub-column. The number of full days calculated by the system appears in the second line under the F sub-column.
The number of co-insurance days reported on the claim form appears under the C sub-column. There are no calculated co-insurance days.

**PATIENT PARTICIPATION – REPORTED/DEDUCTED**
This column shows the patient participation amount (NAMI) as it was reported (first line) and as it was deducted (second line). If no patient participation is applicable, this column will show 0.00 amount.

**OTHER INSURANCE**
If applicable, the amount paid by the patient’s Other Insurance carrier, as reported on the claim form, is shown under this column. If no Other Insurance payment is applicable, this column will show 0.00 amount.

**AMOUNT CHARGED/AMOUNT PAID**
The total charges entered in the claim form appear first under this column. If the claim was approved, the amount paid appears underneath the charges. If the claim has a pend or deny status, the amount paid will be zero (0.00).

**STATUS**
This column indicates the status (DENY, PAID/ADJT/VOID, PEND) of each claim line.

**Denied Claims**
Claims for which payment is denied will be identified by the DENY status. A claim may be denied for the following general reasons:

- The service rendered is not covered by the New York State Medicaid Program.
- The claim is a duplicate of a prior paid claim.
- The required Prior Approval has not been obtained.
- Information entered in the claim form is invalid or logically inconsistent.

**Approved Claims**
Approved claims will be identified by the statuses PAID, ADJT (adjustment), or VOID.

**Paid Claims**
The status PAID refers to original claims that have been approved.

**Adjustments**
The status ADJT refers to a claim submitted in replacement of a paid claim with the purpose of changing one or more fields. An adjustment has two components: the credit transaction (previously paid claim), and the debit transaction (adjusted claim).
**Voids**
The status VOID refers to a claim submitted with the purpose of canceling a previously paid claim. A void lists the credit transaction (previously paid claim) only.

**Pending Claims**
Claims that require further review or recycling will be identified by the PEND status. The following are examples of circumstances that commonly cause claims to be pended:

- New York State Medical Review required.
- Procedure requires manual pricing.
- No match found in the Medicaid files for certain information submitted on the claim, for example: Patient ID, Prior Approval, or Service Authorization. These claims are recycled for a period of time during which the Medicaid files may be updated to match the information on the claim.

After manual review is completed, a match is found in the Medicaid files, or the recycling time expires, pended claims may be approved for payment or denied.

A new pend is signified by two asterisks (**). A previously pended claim is signified by one asterisk (*).

**ERRORS**
For claims with a DENY or PEND status, this column indicates the NYS Medicaid edit (error) numeric code(s) that caused the claim to deny or pend. Some edit codes may also be indicated for a PAID claim. These are approved edits, which identify certain errors found in the claim and that do not prevent the claim from being approved. Up to twenty-five (25) edit codes, including approved edits, may be listed for each claim. Edit code definitions will be listed on a separate page of the remittance advice, at the end of the claim detail section.
Subtotals/Totals
Subtotals of dollar amounts and number of claims are provided as follows:

Subtotals by claim status appear at the end of the claim listing for each status. The subtotals are broken down by:

- Original claims
- Adjustments
- Voids
- Adjustments/voids combined

Totals by service classification and by member ID are provided next to the subtotals for service classification. These totals are broken down by:

- Adjustments/voids (combined)
- Pends
- Paid
- Deny
- Net total paid (for the specific service classification)

Grand Totals for the entire provider remittance advice, which include all the provider’s service classifications, appear on a separate page following the page containing the totals by service classification. The grand total is broken down by:

- Adjustments/voids (combined)
- Pends
- Paid
- Deny
- Net total paid (entire remittance)
Section Four

This section has two subsections:

- Financial Transactions
- Accounts Receivable

Financial Transactions

The Financial Transactions subsection lists all the recoupments that were applied to the provider during the specific cycle. If there is no recoupment activity, this subsection is not produced.

![Medical Assistance Remittance Statement](195x449 to 391x496)

**MEDICAID**

**MANAGEMENT INFORMATION SYSTEM**

**MEDICAL ASSISTANCE (TITLE XIX) PROGRAM**

**REMITTANCE STATEMENT**

**TO:** ANYTOWN NURSING HOME  
BUSINESS OFFICE  
2332 MAIN STREET  
ANYTOWN, NEW YORK 11111

**ETIN:** FINANCIAL TRANSACTIONS  
PROVIDER: 00555555  
REMITTANCE NO: 07080600001

**PAGE** 07  
**DATE** 08/06/07  
**CYCLE** 1563

<table>
<thead>
<tr>
<th>FCN</th>
<th>FINANCIAL</th>
</tr>
</thead>
<tbody>
<tr>
<td>200705060236547</td>
<td>XXX</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>FISCAL TRANS TYPE</th>
<th>DATE</th>
<th>AMOUNT</th>
</tr>
</thead>
<tbody>
<tr>
<td>RECOUPMENT</td>
<td>07</td>
<td>$$.$$</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>NET FINANCIAL AMOUNT</th>
<th>$$$.$$</th>
<th>NUMBER OF FINANCIAL TRANSACTIONS</th>
<th>XXX</th>
</tr>
</thead>
</table>
Explanation of the Financial Transactions Columns

**FCN (Financial Control Number)**
This is a unique identifier assigned to each financial transaction.

**FINANCIAL REASON CODE**
This code is for DOH/CSC use only; it has no relevance to providers. It identifies the reason for the recoupment.

**FISCAL TRANSACTION TYPE**
This is the description of the Financial Reason Code. For example: Third Party Recovery.

**DATE**
The date on which the recoupment was applied. Since all the recoupments listed on this page pertain to the current cycle, all the recoupments will have the same date.

**AMOUNT**
The dollar amount corresponding to the particular fiscal transaction. This amount is deducted from the provider’s total payment for the cycle.

**Totals**
The total dollar amount of the financial transactions (Net Financial Transaction Amount) and the total number of transactions (Number of Financial Transactions) appear below the last line of the transaction detail list.

The Net Financial Transaction Amount added to the Claim Detail-Grand Total must equal the Medicaid Check or EFT amounts.
### Accounts Receivable

This subsection displays the original amount of each of the outstanding Financial Transactions and their current balance after the cycle recoupments were applied. If there are no outstanding negative balances, this section is not produced.

<table>
<thead>
<tr>
<th>REASON CODE DESCRIPTION</th>
<th>PREV BAL</th>
<th>CURR BAL</th>
<th>RECOUP %/AMT</th>
</tr>
</thead>
<tbody>
<tr>
<td>$XXX.XX-</td>
<td>$XXX.XX-</td>
<td>999</td>
<td></td>
</tr>
<tr>
<td>$XXX.XX-</td>
<td>$XXX.XX-</td>
<td>999</td>
<td></td>
</tr>
</tbody>
</table>

TOTAL AMOUNT DUE THE STATE $XXX.XX
**Explanation of the Accounts Receivable Columns**

If a provider has negative balances of different natures (for example, the result of adjustments/voids; the result of retro-adjustments, etc.) or negative balances created at different times, each negative balance will be listed on a different line.

**REASON CODE DESCRIPTION**
This is the description of the Financial Reason Code. For example: Third Party Recovery.

**ORIGINAL BALANCE**
The original amount (or starting balance) for any particular financial reason.

**CURRENT BALANCE**
The current amount owed to Medicaid (after the cycle recoupments, if any, were applied). This balance may be equal to or less than the original balance.

**RECOUPMENT % AMOUNT**
The deduction (recoupment) scheduled for each cycle.

**Total Amount Due the State**

This amount is the sum of all the Current Balances listed above.
Section Five – Edit Descriptions

The last section of the Remittance Advice features the description of each of the edit codes (including approved codes) failed by the claims listed in Section Three.

THE FOLLOWING IS A DESCRIPTION OF THE EDIT REASON CODES THAT APPEAR ON THE CLAIMS FOR THIS REMITTANCE:

00162 RECIPIENT INELIGIBLE FOR DATE OF SERVICE
00971 RECIPIENT NOT ON LONG TERM CARE FILE
01023 HOSPITAL LEAVE NOT SEPARATE LINE
01035 STATUS DISCHARGED DESTINATION PROVIDER BLANK
01131 MEDICAID NOT ALLOWED UNTIL MEDICARE IS MAXIMIZED