

**NEW YORK STATE  
MEDICAID PROGRAM**

**CLINIC**

**BILLING GUIDELINES**

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## Section I - Purpose Statement

The purpose of this document is to assist the provider community in understanding and complying with the New York State Medicaid (NYS Medicaid) requirements and expectations for:

- Billing and submitting claims.
- Interpreting and using the information returned in the Medicaid Remittance Advice.

This document is customized for Clinics and should be used by the provider as an instructional as well as a reference tool.

## Section II – Claims Submission

Clinics can only submit their claims to NYS Medicaid in electronic format.

### Electronic Claims

Pursuant to the Health Insurance Portability and Accountability Act (HIPAA), Public Law 104-191, which was signed into law August 12, 1996, the NYS Medicaid Program adopted the HIPAA-compliant transactions as the sole acceptable format for electronic claim submission, effective November 2003.

Clinics are required to use the HIPAA 837 Institutional (837I) transaction. In addition to this document, direct billers may also refer to the sources listed below to comply with the NYS Medicaid requirements.

- **HIPAA 837I Implementation Guide (IG)** explains the proper use of the 837I standards and program specifications. This document is available at [www.wpc-edi.com/hipaa](http://www.wpc-edi.com/hipaa).
- **NYS Medicaid 837I Companion Guide (CG)** is a subset of the IG which provides specific instructions on the NYS Medicaid requirements for the 837I transaction. .
- **NYS Medicaid Technical Supplementary Companion Guide** provides technical information needed to successfully transmit and receive electronic data. Some of the topics put forth in this CG are testing requirements, error report information, and communication specifications.

These documents are available at [www.emedny.org](http://www.emedny.org) by clicking on the link to the web page below:

[eMedNY Companion Guides and Sample Files](#)

## Pre-requirements for the Submission of Electronic Claims

Before being able to start submitting electronic claims to NYS Medicaid, providers need the following:

- An Electronic/Paper Transmitter Identification Number (ETIN)
- A Certification Statement
- A User ID and Password
- A Trading Partner Agreement
- Testing

### **ETIN**

This is a submitter identifier issued by the eMedNY Contractor that **must** be used in every electronic submission to NYS Medicaid. ETINs may be issued to an individual provider or provider group (if they are direct billers) and to service bureaus or clearinghouses.

The ETIN application is available at [www.emedny.org](http://www.emedny.org) by clicking on the link to the web page below:

### **[Provider Enrollment Forms](#)**

### **Certification Statement**

All providers, either direct billers or those who bill through a service bureau or clearinghouse, must file a notarized Certification Statement with NYS Medicaid for each ETIN used for the electronic billing.

The Certification Statement is good for one year, after which it needs to be renewed for electronic billing continuity under a specific ETIN. Failure to renew the Certification Statement for a specific ETIN will result in claim rejection.

The Certification Statement is available on the third page of the ETIN application at [www.emedny.org](http://www.emedny.org) or can be accessed by clicking on the link above.

### **User ID and Password**

Electronic submitters need a user ID and password to access the NYS Medicaid eMedNY system through one of the communication methods available. The user ID and password are issued to the submitter at the time of enrollment in one of the communication methods. The method used to apply for a user ID varies depending on the communication method chosen by the provider. For example: An ePACES user ID is assigned systematically via email while an FTP user ID is assigned after the submission of a Security Packet B.

### **Trading Partner Agreement**

This document addresses certain requirements applicable to the electronic exchange of information and data associated with health care transactions.

The NYS Medicaid Trading Partner Agreement is available at [www.emedny.org](http://www.emedny.org) by clicking on the link to the web page below:

[Provider Enrollment Forms](#)

### **Testing**

Direct billers (either individual providers or service bureaus/clearinghouses that bill for multiple providers) are encouraged to submit production tests to CSC before they start submitting Medicaid claims for the first time after enrollment or any time they update their systems or start using a new system. This testing will assist providers in identifying errors in their system and allow for corrections before they submit actual claims.

Information and instructions regarding testing are available at [www.emedny.org](http://www.emedny.org) by clicking on the link to the web page below:

[eMedNY Companion Guides and Sample Files](#)

## Communication Methods

The following communication methods are available for submission of electronic claims to NYS Medicaid:

- ePACES
- eMedNY eXchange
- FTP
- CPU to CPU
- eMedNY Gateway

### ePACES

NYS Medicaid provides a HIPAA-compliant web-based application that is customized for specific transactions, including the 837I. ePACES, which is provided free of charge, is ideal for providers with small-to-medium claim volume.

The requirements for using ePACES include:

- An ETIN and Certification Statement should be obtained prior to enrollment
- Internet Explorer 4.01 and above or Netscape 4.7 and above
- Internet browser that supports 128-bit encryption and cookies
- Minimum connection speed of 56K
- An accessible email address

The following transactions can be submitted via ePACES:

- 270/271 - Eligibility Benefit Inquiry and Response
- 276/277 - Claim Status Request and Response
- 278 - Prior Approval/Prior Authorization/Service Authorization Request and Response
- 837 - Dental, Professional and Institutional Claims

To take advantage of ePACES, providers need to follow an enrollment process. Additional enrollment information is available at [www.emedny.org](http://www.emedny.org) by clicking on the link to the web page below:

[Self Help](#)

**eMedNY eXchange**

The eMedNY eXchange works like email; users are assigned an inbox and they are able to send and receive transaction files in an email-like fashion. Transaction files are attached and sent to eMedNY for processing and the responses are delivered to the user's inbox so they can be detached and saved on the user's computer. **For security reasons, the eMedNY eXchange is accessible only through the eMedNY website [www.emedny.org](http://www.emedny.org).**

The eMedNY eXchange only accepts HIPAA-compliant transactions.

Access to the eMedNY eXchange is obtained through an enrollment process. To enroll in eXchange, you must first complete enrollment in ePACES and at least one login attempt must be successful.

**FTP**

File Transfer Protocol (FTP) is the standard process for batch authorization transmissions. FTP allows users to transfer files from their computer to another computer. FTP is strictly a dial-up connection.

FTP access is obtained through an enrollment process. To obtain a user name and password, you must complete and return a Security Packet B. The Security Packet B is available at [www.emedny.org](http://www.emedny.org) by clicking on the link to the web page below:

[Provider Enrollment Forms](#)

**CPU to CPU**

This method consists of a direct connection established between the submitter and the processor, and it is most suitable for high volume submitters. For additional information regarding this access method, contact the eMedNY Call Center at 800-343-9000.



### **eMedNY Gateway**

This is a dial-up access method. It requires the use of the user ID assigned at the time of enrollment and a password. eMedNY Gateway access is obtained through an enrollment process. To obtain a user name and password, you must complete and return a Security Packet B. The Security Packet B is available at [www.emedny.org](http://www.emedny.org) by clicking on the link to the web page below:

**[Provider Enrollment Forms](#)**

**Note: For questions regarding ePACES, eXchange, FTP, CPU to CPU or eMedNY Gateway connections, call the eMedNY Call Center 800-343-9000.**

## Section III – Remittance Advice

The purpose of this section is to familiarize the provider with the design and contents of the Remittance Advice.

eMedNY produces remittance advices on a weekly (processing cycle) basis. Weekly remittance advices contain the following information:

- A listing of all **claims** (identified by several pieces of information as submitted on the claim) that have entered the computerized processing system during the corresponding cycle.
- The **status** of each claim (deny/paid/pend) after processing.
- The eMedNY **edits** (errors) failed by pending or denied claims.
- **Subtotals** (by category, status, locator code and member ID) and **grand totals** of claims and dollar amounts.
- Other **financial information** such as recoupments, negative balances, etc.

The remittance advice, in addition to showing a record of claim transactions, can assist providers in identifying and correcting billing errors and plays an important role in the communication between the provider and the eMedNY Contractor for resolving billing or processing issues.

Remittance advices are available in electronic and paper formats.

### Electronic Remittance Advice

The electronic HIPAA 835 transaction (Remittance Advice) is available via the eMedNY eXchange or FTP. To request the electronic remittance advice (835), providers must complete the Electronic Remittance Request Form, which is available at [www.emedny.org](http://www.emedny.org) by clicking on the link to the web page below:

[Provider Enrollment Forms](#)

For additional information, providers may also call the eMedNY Call Center at 800-343-9000.

The NYS Medicaid Companion Guides for the 835 transaction are available at [www.emedny.org](http://www.emedny.org) by clicking on the link to the web page below:

[\*\*eMedNY Companion Guides and Sample Files\*\*](#)

Providers who submit claims under multiple ETINs receive a separate 835 for each ETIN and a separate check for each 835. Also, any 835 transaction can contain a maximum of ten thousand (10,000) claim lines; any overflow will generate a separate 835 and a separate check.

Providers with multiple ETINs who choose to receive the 835 electronic remittance advice may elect to receive the status of paper claim submissions and state-submitted adjustments/voids in the 835 format. The request must be submitted using the Electronic Remittance Request Form located at [www.emedny.org](http://www.emedny.org). If this option is chosen, no paper remittance will be produced and the status of claims will appear on the electronic 835 remittance advice for the ETIN indicated on the request form. Retro-adjustment information is also sent in the 835 transaction format. Pending claims do not appear in the 835 transaction; they are listed in the Supplemental file, which will be sent along with the 835 transaction for any processing cycle that produces pends.

**Note: Providers with only one ETIN who elect to receive an electronic remittance will have the status of any claims submitted via paper forms and state-submitted adjustments/voids reported on that electronic remittance.**

## **Paper Remittance Advice**

Remittance advices are also available on paper. Providers who bill electronically but do not specifically request to receive the 835 transaction are sent paper remittance advices.

## **Remittance Sorts**

The default sort for the paper remittance advice is:  
Claim Status (denied, paid, pending) – Patient ID – TCN

Providers can request other sort patterns that may better suit their accounting systems. The additional sorts available are as follows:

- TCN – Claim Status – Patient ID – Date of Service
- Patient ID – Claim Status – TCN
- Date of Service – Claim Status – Patient ID

To request a sort pattern other than the default, providers **must** complete the Paper Remittance Sort Request form which is available at [www.emedny.org](http://www.emedny.org) by clicking on the link to the web page below:

[Provider Enrollment Forms](#)

For additional information, providers may also call the eMedNY Call Center at 800-343-9000.

## Remittance Advice Format

The remittance advice is composed of five sections as described below.

- Section One may be one of the following:
  - ▶ Medicaid Check
  - ▶ Notice of Electronic Funds Transfer (EFT)
  - ▶ Summout (no claims paid)
- Section Two: Provider Notification (special messages)
- Section Three: Claim Detail
- Section Four:
  - ▶ Financial Transactions (recoupments)
  - ▶ Accounts Receivable (cumulative financial information)
- Section Five: Edit (Error) Description

## Explanation of Remittance Advice Sections

The next pages present a sample of each section of the remittance advice for Clinic services followed by an explanation of the elements contained in the section.

The information displayed in the remittance advice samples is for illustration purposes only.

The following information applies to a remittance advice with the default sort pattern.

**Section One – Medicaid Check**

For providers who have selected to be paid by check, a Medicaid check is issued when the provider has claims approved for the cycle and the approved amount is greater than the recoupments, if any, scheduled for the cycle. This section contains the check stub and the actual Medicaid check (payment).



TO: ABC CLINIC

DATE: 2007-08-06  
 REMITTANCE NO: 07080600001  
 PROVIDER ID/NPI: 00987654/0123456789

07080600001 2007-08-06  
 ABC CLINIC  
 P.O. BOX 999  
 ANYTOWN NY 11111

YOUR CHECK IS BELOW – TO DETACH, TEAR ALONG PERFORATED DASHED LINE

---

29  
2

DATE	REMITTANCE NUMBER	PROVIDER ID/NPI
2007-08-06 <small>VOID AFTER 90 DAYS</small>	07080600001	00987654/0123456789

DOLLARS/CENTS
PAY \$*****430.00

TO  
THE  
ORDER  
OF

07080600001 2007-08-06  
 ABC CLINIC  
 P.O. BOX 999  
 ANYTOWN NY 11111



MEDICAL ASSISTANCE (TITLE XIX) PROGRAM  
 CHECKS DRAWN ON  
 KEY BANK N.A.  
 60 STATE STREET, ALBANY, NEW YORK 12207

John Smith  
AUTHORIZED SIGNATURE

***Check Stub Information***

**UPPER LEFT CORNER**

Provider's name (as recorded in the Medicaid files)

**UPPER RIGHT CORNER**

Date on which the remittance advice was issued

Remittance number

\* Provider ID/NPI

**CENTER**

Remittance number/date

Provider's name/address

***Medicaid Check***

**LEFT SIDE**

Table

    Date on which the check was issued

    Remittance number

    \* Provider ID/NPI

Remittance number/date

Provider's name/address

**RIGHT SIDE**

Dollar amount. This amount must equal the Net Total Paid Amount under the Grand Total subsection plus the total sum of the Financial Transaction section.

**\* Note: NPI has been included on all examples and is pending NPI implementation by NYS Medicaid.**

**Section One – EFT Notification**

For providers who have selected electronic funds transfer (or direct deposit), an EFT transaction is processed when the provider has claims approved during the cycle and the approved amount is greater than the recoupments, if any, scheduled for the cycle. This section indicates the amount of the EFT.

TO: ABC CLINIC



DATE: 2007-08-06  
REMITTANCE NO: 07080600001  
PROVIDER ID/NPI: 00987654/0123456789

07080600001 2007-08-06  
ABC CLINIC  
P.O. BOX 999  
ANYTOWN NY 11111

ABC CLINIC \$430.00

PAYMENT IN THE ABOVE AMOUNT WILL BE DEPOSITED VIA AN ELECTRONIC FUNDS TRANSFER.

***Information on the EFT Notification Page***

**UPPER LEFT CORNER**

Provider's name (as recorded in the Medicaid files)

**UPPER RIGHT CORNER**

Date on which the remittance advice was issued

Remittance number

\* Provider ID/NPI

**CENTER**

Remittance number/date

Provider's name/address

Provider's Name – Amount transferred to the provider's account. This amount must equal the Net Total Paid Amount under the Grand Total subsection plus the total sum of the Financial Transaction section.



**Section One – Summout (No Payment)**

A summout is produced when the provider has no positive total payment for the cycle and, therefore, there is no disbursement of moneys.

TO: ABC CLINIC



DATE: 08/06/2007  
REMITTANCE NO: 07080600001  
PROVIDER ID/NPI: 00987654/0123456789

NO PAYMENT WILL BE RECEIVED THIS CYCLE. SEE REMITTANCE FOR DETAILS.

ABC CLINIC  
P.O. BOX 999  
ANYTOWN            NY            11111

***Information on the Summout Page***

**UPPER LEFT CORNER**

Provider Name (as recorded in Medicaid files)

**UPPER RIGHT CORNER**

Date on which the remittance advice was issued

Remittance number

\* Provider ID/NPI

**CENTER**

Notification that no payment was made for the cycle (no claims were approved)

Provider name and address

**Section Two – Provider Notification**

This section is used to communicate important messages to providers.



PAGE 01  
DATE 08/06/07  
CYCLE 1563

TO: ABC CLINIC  
P.O. BOX 999  
ANYTOWN, NEW YORK 11111

ETIN:  
PROVIDER NOTIFICATION  
PROVIDER ID/NPI 00987654/0123456789  
REMITTANCE NO 07080600001

REMITTANCE ADVICE MESSAGE TEXT

\*\*\* ELECTRONIC FUNDS TRANSFER (EFT) FOR PROVIDER PAYMENTS IS NOW AVAILABLE \*\*\*

PROVIDERS WHO ENROLL IN EFT WILL HAVE THEIR MEDICAID PAYMENTS DIRECTLY DEPOSITED INTO THEIR CHECKING OR SAVINGS ACCOUNT.

THE EFT TRANSACTIONS WILL BE INITIATED ON WEDNESDAYS AND DUE TO NORMAL BANKING PROCEDURES, THE TRANSFERRED FUNDS MAY NOT BECOME AVAILABLE IN THE PROVIDER'S CHOSEN ACCOUNT FOR UP TO 48 HOURS AFTER TRANSFER. PLEASE CONTACT YOUR BANKING INSTITUTION REGARDING THE AVAILABILITY OF FUNDS.

PLEASE NOTE THAT EFT DOES NOT WAIVE THE TWO-WEEK LAG FOR MEDICAID DISBURSEMENTS.

TO ENROLL IN EFT, PROVIDERS MUST COMPLETE AN EFT ENROLLMENT FORM THAT CAN BE FOUND AT [WWW.EMEDNY.ORG](http://WWW.EMEDNY.ORG). CLICK ON PROVIDER ENROLLMENT FORMS WHICH CAN BE FOUND IN THE FEATURED LINKS SECTION. DETAILED INSTRUCTIONS WILL ALSO BE FOUND THERE.

AFTER SENDING THE EFT ENROLLMENT FORM TO CSC, PLEASE ALLOW A MINIMUM TIME OF SIX TO EIGHT WEEKS FOR PROCESSING. DURING THIS PERIOD OF TIME YOU SHOULD REVIEW YOUR BANK STATEMENTS AND LOOK FOR AN EFT TRANSACTION IN THE AMOUNT OF \$0.01 WHICH CSC WILL SUBMIT AS A TEST. YOUR FIRST REAL EFT TRANSACTION WILL TAKE PLACE APPROXIMATELY FOUR TO FIVE WEEKS LATER.

IF YOU HAVE ANY QUESTIONS ABOUT THE EFT PROCESS, PLEASE CALL THE EMEDNY CALL CENTER AT 1-800-343-9000.

***Information on the Provider Notification Page***

**UPPER LEFT CORNER**

Provider's name and address

**UPPER RIGHT CORNER**

Remittance page number

Date on which the remittance advice was issued

Cycle number

ETIN (not applicable)

Name of section: **PROVIDER NOTIFICATION**

\* Provider ID/NPI

Remittance number

**CENTER**

Message text

**Clinic Billing Guidelines**

**Section Three – Claim Detail**

This section provides a listing of all new claims that were processed during the specific cycle plus claims that were previously pended and denied during the specific cycle. This section may also contain claims that pended previously.


  
**MEDICAL ASSISTANCE (TITLE XIX) PROGRAM**  
**REMITTANCE STATEMENT**

PAGE 02  
 DATE 08/06/2007  
 CYCLE 1563

TO: ABC CLINIC  
 P.O. BOX 999  
 ANYTOWN, NEW YORK 11111

ETIN:  
 CLINIC  
 PROVIDER ID/NPI: 00987654/0123456789  
 REMITTANCE NO: 07080600001  
 LOCATOR CD: 003

OFFICE ACCOUNT NUMBER	CLIENT NAME	CLIENT ID.	TCN	DATE OF SERVICE	RATE CODE	UNITS	CHARGED	PAID	STATUS	ERRORS
CPIC13345C6	SHAW	NN66666P	07206-000000342-2-2	07/15/07	2879	1.000	95.00	0.00	DENY	00146
CPIC14768C6	THOMAS	PP55555Q	07206-000000344-2-2	07/15/07	2879	1.000	95.00	0.00	DENY	00142, 00144
CPIC12233C6	THOMAS	PP55555Q	07206-000000345-2-1	07/15/07	2879	1.000	95.00	0.00	DENY	00142, 00144
CPIC19445C2	BROWN	TT88888M	07206-000000346-2-2	07/15/07	2879	1.000	95.00	0.00	DENY	00162

\* = PREVIOUSLY PENDED CLAIM  
 \*\* = NEW PEND

TOTAL AMOUNT ORIGINAL CLAIMS	DENIED	380.00	NUMBER OF CLAIMS	4
NET AMOUNT ADJUSTMENTS	DENIED	0.00	NUMBER OF CLAIMS	0
NET AMOUNT VOIDS	DENIED	0.00	NUMBER OF CLAIMS	0
NET AMOUNT VOIDS – ADJUSTS		0.00	NUMBER OF CLAIMS	0

**Clinic Billing Guidelines**



PAGE 03  
DATE 08/06/2007  
CYCLE 1563

TO: ABC CLINIC  
P.O. BOX 999  
ANYTOWN, NEW YORK 11111

**MEDICAL ASSISTANCE (TITLE XIX) PROGRAM  
REMITTANCE STATEMENT**

ETIN:  
CLINIC  
PROVIDER ID/NPI: 00987654/0123456789  
REMITTANCE NO: 07080600001  
LOCATOR CD: 003

OFFICE ACCOUNT NUMBER	CLIENT NAME	CLIENT ID.	TCN	DATE OF SERVICE	RATE CODE	UNITS	CHARGED	PAID	STATUS	ERRORS
CPIC14365C4	GARCIA	AA77777N	07206-000000251-2-0	07/12/07	2879	1.000	95.00	95.00	PAID	
CPIC14333C4	GARCIA	BB88888R	07205-000000338-2-1	07/16/07	2879	1.000	95.00	95.00	PAID	
CPIC12232C2	BROWN	PP66666M	07200-000000249-2-0	07/15/07	2879	1.000	95.00	95.00	PAID	
CPIC15776C2	TAYLOR	RR55555M	07206-000000303-2-1	07/11/07	2879	1.000	95.00	95.00	PAID	
CPIC13665C2	BROWN	TT88888Y	07201-000000303-2-2	07/11/07	2879	1.000	95.00	95.00	PAID	
CPIC11332C3	SMITH	ZZ22222T	07201-000000250-2-0	07/06/07	2879	1.000	50.00	50.00	ADJT	
CPIC11332C3	SMITH	ZZ22222T	07151-000000334-2-1	07/06/07	2879	1.000	95.00-	95.00-	PAID	

\* = PREVIOUSLY PENDED CLAIM  
\*\* = NEW PEND

TOTAL AMOUNT ORIGINAL CLAIMS	PAID	475.00	NUMBER OF CLAIMS	5
NET AMOUNT ADJUSTMENTS	PAID	45.00-	NUMBER OF CLAIMS	1
NET AMOUNT VOIDS	PAID	0.00	NUMBER OF CLAIMS	0
NET AMOUNT VOIDS - ADJUSTS		45.00-	NUMBER OF CLAIMS	1

**Clinic Billing Guidelines**



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DATE 08/06/2007  
CYCLE 1563

**MEDICAL ASSISTANCE (TITLE XIX) PROGRAM  
REMITTANCE STATEMENT**

TO: ABC CLINIC  
P.O. BOX 999  
ANYTOWN, NEW YORK 11111

ETIN:  
CLINIC  
PROVIDER ID/NPI: 00987654/0123456789  
REMITTANCE NO: 07080600001  
LOCATOR CD: 003

OFFICE ACCOUNT NUMBER	CLIENT NAME	CLIENT ID.	TCN	DATE OF SERVICE	RATE CODE	UNITS	CHARGED	PAID	STATUS	ERRORS
CPIC13445AV1	DAVIS	AA44444R	07206-000000355-2-2	07/01/07	2879	1.000	95.00	0.00	** PEND	00162
CPIC15667C6	THOMAS	DD55555Q	07206-000000356-2-1	07/15/07	2879	1.000	95.00	0.00	** PEND	00127
CPIC13544C6	GAINES	NN55555Q	07206-000000357-2-1	07/15/07	2879	1.000	95.00	0.00	** PEND	00127
CPIC18764C2	BROWN	PP88888M	07206-000000347-2-2	07/15/07	2879	1.000	95.00	0.00	** PEND	00162

\* = PREVIOUSLY PENDED CLAIM  
\*\* = NEW PEND

TOTAL AMOUNT ORIGINAL CLAIMS	PEND	380.00	NUMBER OF CLAIMS	4
NET AMOUNT ADJUSTMENTS	PEND	0.00	NUMBER OF CLAIMS	0
NET AMOUNT VOIDS	PEND	0.00	NUMBER OF CLAIMS	0
NET AMOUNT VOIDS - ADJUSTS		0.00	NUMBER OF CLAIMS	0
<b>LOCATOR 003 TOTALS - CLINIC</b>				
VOIDS - ADJUSTS		45.00-	NUMBER OF CLAIMS	1
TOTAL PENDS		380.00	NUMBER OF CLAIMS	4
TOTAL PAID		475.00	NUMBER OF CLAIMS	5
TOTAL DENIED		380.00	NUMBER OF CLAIMS	4
NET TOTAL PAID		430.00	NUMBER OF CLAIMS	6
<b>REMITTANCE TOTALS - CLINIC</b>				
VOIDS - ADJUSTS		45.00-	NUMBER OF CLAIMS	1
TOTAL PENDS		380.00	NUMBER OF CLAIMS	4
TOTAL PAID		475.00	NUMBER OF CLAIMS	5
TOTAL DENIED		380.00	NUMBER OF CLAIMS	4
NET TOTAL PAID		430.00	NUMBER OF CLAIMS	6
<b>MEMBER ID: 00987654</b>				
VOIDS - ADJUSTS		45.00-	NUMBER OF CLAIMS	1
TOTAL PENDS		380.00	NUMBER OF CLAIMS	4
TOTAL PAID		475.00	NUMBER OF CLAIMS	5
TOTAL DENIED		380.00	NUMBER OF CLAIMS	4
NET TOTAL PAID		430.00	NUMBER OF CLAIMS	6

Clinic Billing Guidelines



**MEDICAL ASSISTANCE (TITLE XIX) PROGRAM  
REMITTANCE STATEMENT**

PAGE: 05  
DATE: 08/06/2007  
CYCLE: 1563

ETIN:  
CLINIC  
GRAND TOTALS  
PROVIDER ID/NPI: 00987654/0123456789  
REMITTANCE NO: 07080600001

TO: ABC CLINIC  
P.O. BOX 999  
ANYTOWN, NEW YORK 11111

REMITTANCE TOTALS – GRAND TOTALS			
VOIDS – ADJUSTS	45.00-	NUMBER OF CLAIMS	1
TOTAL PENDS	380.00	NUMBER OF CLAIMS	4
TOTAL PAID	475.00	NUMBER OF CLAIMS	5
TOTAL DENY	380.00	NUMBER OF CLAIMS	4
NET TOTAL PAID	430.00	NUMBER OF CLAIMS	6



***General Information on the Claim Detail Pages***

**UPPER LEFT CORNER**

Provider's name and address

**UPPER RIGHT CORNER**

Remittance page number

Date on which the remittance advice was issued

Cycle number. The cycle number should be used when calling the eMedNY Call Center with questions about specific processed claims or payments.

ETIN (not applicable)

Provider Service Classification: **CLINIC**

\* Provider ID/NPI

Remittance number

Locator Code (providers who have more than one locator code will receive separate Claim Detail sections for each locator code).

***Explanation of the Claim Detail Columns***

**OFFICE ACCOUNT NUMBER**

If a Patient/Office Account Number was entered in the claim form, that number (up to 20 characters) will appear under this column.

**CLIENT NAME**

This column indicates the last name of the patient. If an invalid Medicaid Client ID was entered in the claim form, the ID will be listed as it was submitted but no name will appear in this column.

**CLIENT ID**

The patient's Medicaid ID number appears under this column.

**TCN**

The TCN is a unique identifier assigned to each claim that is processed.

**DATE OF SERVICE**

The first date of service (From date) entered in the claim appears under this column. If a date different from the From date was entered in the Through date box, that date is not returned in the Remittance Advice.

**RATE CODE**

The four-digit rate code that was entered in the claim form appears under this column.

**UNITS**

The total number of units of service for the specific claim appears under this column. The units are indicated with three (3) decimal positions. Since Clinic must only report whole units of service, the decimal positions will always be 000. For example: 3 units will be indicated as 3.000.

**CHARGED**

The total charges entered in the claim form appear under this column.

**PAID**

If the claim was approved, the amount paid appears under this column. If the claim has a pend or deny status, the amount paid will be zero (0.00).

**STATUS**

This column indicates the status (DENY, PAID/ADJT/VOID, PEND) of the claim line.

**Denied Claims**

Claims for which payment is denied will be identified by the **DENY** status. A claim may be denied for the following general reasons:

- The service rendered is not covered by the New York State Medicaid Program.
- The claim is a duplicate of a prior paid claim.
- The required Prior Approval has not been obtained.
- Information entered in the claim form is invalid or logically inconsistent.

**Approved Claims**

Approved claims will be identified by the statuses **PAID**, **ADJT** (adjustment), or **VOID**.

***Paid Claims***

The status PAID refers to **original** claims that have been approved.

***Adjustments***

The status ADJT refers to a claim submitted in replacement of a paid claim with the purpose of changing one or more fields. An adjustment has two components: the credit transaction (previously paid claim) and the debit transaction (adjusted claim).

***Voids***

The status VOID refers to a claim submitted with the purpose of canceling a previously paid claim. A void lists the credit transaction (previously paid claim) only.

### **Pending Claims**

Claims that require further review or recycling will be identified by the **PEND** status. The following are examples of circumstances that commonly cause claims to be pended:

- New York State Medical Review required.
- Procedure requires manual pricing.
- No match found in the Medicaid files for certain information submitted on the claim, for example: Client ID, Prior Approval, Service Authorization. These claims are recycled for a period of time during which the Medicaid files may be updated to match the information on the claim.

After manual review is completed, a match is found in the Medicaid files or the recycling time expires, pended claims may be approved for payment or denied.

A new pend is signified by two asterisks (\*\*). A previously pended claim is signified by one asterisk (\*).

### **ERRORS**

For claims with a DENY or PEND status, this column indicates the NYS Medicaid edit (error) numeric code(s) that caused the claim to deny or pend. Some edit codes may also be indicated for a PAID claim. These are “approved” edits, which identify certain “errors” found in the claim, which do not prevent the claim from being approved. Up to twenty-five (25) edit codes, including approved edits, may be listed for each claim. Edit code definitions will be listed on a separate page of the remittance advice at the end of the claim detail section.

### ***Subtotals/Totals***

Subtotals of dollar amounts and number of claims are provided as follows:

Subtotals by **claim status** appear at the end of the claim listing for each status. The subtotals are broken down by:

- Original claims
- Adjustments
- Voids
- Adjustments/voids combined

Subtotals by **service classification/locator code** combination are provided at the end of the claim detail listing for each service classification/locator code combination. These subtotals are broken down by:

- Adjustments/voids (combined)
- Pends
- Paid
- Denied
- Net total paid (for the specific combination)

Totals by **service classification** and by **member ID** are provided next to the subtotals for service classification/locator code. These totals are broken down by:

- Adjustments/voids (combined)
- Pends
- Paid
- Deny
- Net total paid (for the specific service classification)

**Grand Totals** for the entire provider remittance advice, which include all the provider's service classifications, appear on a separate page following the page containing the **totals by service classification**. The grand total is broken down by:

- Adjustments/voids (combined)
- Pends
- Paid
- Deny
- Net total paid (entire remittance)


**Section Four**

This section has two subsections:

- Financial Transactions
- Accounts Receivable

**Financial Transactions**

The Financial Transactions subsection lists all the recoupments that were applied to the provider during the specific cycle. If there is no recoupment activity, this subsection is not produced.

TO: ABC CLINIC P.O. BOX 999 ANYTOWN, NEW YORK 11111	 <p><b>MEDICAL ASSISTANCE (TITLE XIX) PROGRAM</b>  <b>REMITTANCE STATEMENT</b></p>	PAGE 07 DATE 08/06/07 CYCLE 1563  ETIN: FINANCIAL TRANSACTIONS PROVIDER ID/NPI: 00987654/0123456789 REMITTANCE NO: 07080600001															
<table border="0" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="text-align: left; border-bottom: 1px solid black;">FCN</th> <th style="text-align: left; border-bottom: 1px solid black;">FINANCIAL REASON CODE</th> <th style="text-align: left; border-bottom: 1px solid black;">FISCAL TRANS TYPE</th> <th style="text-align: left; border-bottom: 1px solid black;">DATE</th> <th style="text-align: left; border-bottom: 1px solid black;">AMOUNT</th> </tr> </thead> <tbody> <tr> <td>200705060236547</td> <td>XXX</td> <td>RECOUPMENT REASON DESCRIPTION</td> <td>05 09 07</td> <td>\$\$\$</td> </tr> <tr> <td colspan="2" style="padding-top: 20px;">NET FINANCIAL AMOUNT</td> <td>\$\$\$</td> <td colspan="2" style="padding-top: 20px;">NUMBER OF FINANCIAL TRANSACTIONS XXX</td> </tr> </tbody> </table>			FCN	FINANCIAL REASON CODE	FISCAL TRANS TYPE	DATE	AMOUNT	200705060236547	XXX	RECOUPMENT REASON DESCRIPTION	05 09 07	\$\$\$	NET FINANCIAL AMOUNT		\$\$\$	NUMBER OF FINANCIAL TRANSACTIONS XXX	
FCN	FINANCIAL REASON CODE	FISCAL TRANS TYPE	DATE	AMOUNT													
200705060236547	XXX	RECOUPMENT REASON DESCRIPTION	05 09 07	\$\$\$													
NET FINANCIAL AMOUNT		\$\$\$	NUMBER OF FINANCIAL TRANSACTIONS XXX														

***Explanation of the Financial Transactions Columns***

**FCN (Financial Control Number)**

This is a unique identifier assigned to each financial transaction.

**FINANCIAL REASON CODE**

This code is for DOH/CSC use only; it has no relevance to providers. It identifies the reason for the recoupment.

**FISCAL TRANSACTION TYPE**

This is the description of the Financial Reason Code. For example: Third Party Recovery.

**DATE**

The date on which the recoupment was applied. Since all the recoupments listed on this page pertain to the current cycle, all the recoupments will have the same date.

**AMOUNT**

The dollar amount corresponding to the particular fiscal transaction. This amount is deducted from the provider's total payment for the cycle.

***Totals***

The total dollar amount of the financial transactions (**Net Financial Transaction Amount**) and the total number of transactions (**Number of Financial Transactions**) appear below the last line of the transaction detail list.

The Net Financial Transaction Amount added to the Claim Detail-Grand Total must equal the Medicaid Check or EFT amounts.

**Accounts Receivable**

This subsection displays the original amount of each of the outstanding Financial Transactions and their current balance after the cycle recoupments were applied. If there are no outstanding negative balances, this section is not produced.



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DATE 08/06/07  
CYCLE 1563

TO: ABC CLINIC  
P.O. BOX 999  
ANYTOWN, NEW YORK 11111

**MEDICAL ASSISTANCE (TITLE XIX) PROGRAM  
REMITTANCE STATEMENT**

ETIN:  
ACCOUNTS RECEIVABLE  
PROVIDER ID/NPI: 00987654/0123456789  
REMITTANCE NO: 07080600001

REASON CODE DESCRIPTION	ORIG BAL	CURR BAL	RECOUP %/AMT
	\$XXX.XX-	\$XXX.XX-	999
	\$XXX.XX-	\$XXX.XX-	999

TOTAL AMOUNT DUE THE STATE \$XXX.XX

***Explanation of the Accounts Receivable Columns***

If a provider has negative balances of different nature (for example, the result of adjustments/voids, the result of retro-adjustments, etc.) or negative balances created at different times, each negative balance will be listed in a different line.

**REASON CODE DESCRIPTION**

This is the description of the Financial Reason Code. For example: Third Party Recovery.

**ORIGINAL BALANCE**

The original amount (or starting balance) for any particular financial reason.

**CURRENT BALANCE**

The current amount owed to Medicaid (after the cycle recoupments, if any, were applied). This balance may be equal to or less than the original balance.

**RECOUPMENT % AMOUNT**

The deduction (recoupment) scheduled for each cycle.

**Total Amount Due the State**

This amount is the sum of all the **Current Balances** listed above.



**Section Five – Edit Descriptions**

The last section of the Remittance Advice features the description of each of the edit codes (including approved codes) failed by the claims listed in Section Three



**MEDICAL ASSISTANCE (TITLE XIX) PROGRAM  
REMITTANCE STATEMENT**

TO: ABC CLINIC  
P.O. BOX 999  
ANYTOWN, NEW YORK 11111

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DATE 08/06/2007  
CYCLE 1563

ETIN:  
CLINIC  
EDIT DESCRIPTIONS  
PROVIDER ID/NPI: 00987654/0123456789  
REMITTANCE NO: 07080600001

THE FOLLOWING IS A DESCRIPTION OF THE EDIT REASON CODES THAT APPEAR ON THE CLAIMS FOR THIS REMITTANCE:

- 00127 MEDICARE PAID AMOUNT LESS THAN REASONABLE AMOUNT
- 00142 RECIPIENT YOB NOT EQUAL FILE
- 00144 RECIPIENT SEX NOT EQUAL FILE
- 00146 PRIMARY/PRINCIPAL DIAGNOSIS NOT ON FILE
- 00162 RECIPIENT INELIGIBLE ON DATE OF SERVICE

## Appendix A – Sterilization Consent Form – DSS-3134

A Sterilization Consent Form, DSS-3134, must be completed for each sterilization procedure. **No other form can be used in place of the DSS-3134.** A supply of these forms, available in English and in Spanish [DSS-3134(S)], can be obtained from the New York State Department of Health's website by clicking on the link to the web page below:

### [Local Districts Social Service Forms](#)

For electronic claim submissions, the completed and signed DSS-3134 [or DSS-3134(S)] must be kept in the patient's file. If upon audit and examination, it is found that the consent form is not present or is defective, the Department will recoup any and all payments associated with the sterilization procedure.

When completing the DSS-3134, please follow the guidelines below:

- Be certain that the form is completed so it can be read easily. An illegible or altered form is unacceptable. Also, the persons completing the form should check to see that all five copies are legible.
- Each required field or blank must be completed in order to ensure payment.
- If a woman is not currently Medicaid eligible at the time she signs the DSS-3134 [or 3134(S)] form but becomes eligible prior to the procedure and if she is 21 years of age when the form was signed, the 30 day waiting period starts from the date the DSS form was signed regardless of the date the woman becomes Medicaid eligible.

A sample Sterilization Consent Form and step-by-step instructions follow on the next pages.



***Field-by-Field Instructions for Completing the Sterilization Consent Form – DSS-3134 and 3134(S)***

**Patient Identification**

**Field 1**

Enter the patient's name, Medicaid ID number, and chart number; name of hospital or clinic is optional.

**Consent To Sterilization**

**Field 2**

Enter the name of the individual or clinic obtaining consent. If the sterilization is to be performed in New York City, the physician who performs the sterilization (24) cannot obtain the consent.

**Field 3**

Enter the name of sterilization procedure to be performed.

**Field 4**

Enter the patient's date of birth. Check to see that the patient is at least 21 years old. If the patient is not 21 on the date consent is given (9), Medicaid will not pay for the sterilization.

**Field 5**

Enter the patient's name.

**Field 6**

Enter the name of doctor who will probably perform the sterilization. It is understood that this might not be the doctor who eventually performs the sterilization (24).

**Field 7**

Enter the name of sterilization procedure.

**Field 8**

The patient must sign the form.

**Field 9**

Enter the date of patient's signature. This is the date on which the consent was obtained. The sterilization procedure must be performed no less than 30 days nor more than 180 days from this date, except in instances of premature delivery (20, 21), or emergency abdominal surgery (22, 23) when at least 72 hours (three days) must have elapsed.

**Field 10**

Completion of the race and ethnicity designation is optional.

**Interpreter's Statement**

**Field 11**

If the person to be sterilized does not understand the language of the consent form, the services of an interpreter will be required. Enter the language employed.

**Field 12**

The interpreter must sign and date the form.

**Statement of Person Obtaining Consent.**

**Field 13**

Enter the patient's name.

**Field 14**

Enter the name of the sterilization operation.

**Field 15**

The person who obtained consent from the patient must sign and date the form. If the sterilization is to be performed in New York City, this person cannot be the operating physician (24).

**Field 16**

Enter the name and address of the facility with which the person who obtained the consent is associated. This may be a clinic, hospital, Midwife's, or physician's office.

**Physician's Statement**

The physician should complete and date this form after the sterilization procedure is performed.

**Field 17**

Enter the patient's name.

**Field 18**

Enter the date the sterilization procedure was performed.

**Field 19**

Enter the name of the sterilization procedure.

**Instructions for Use of Alternative Final Paragraphs**

If the sterilization was performed at least 30 days from the date of consent (9), then cross out the second paragraph and sign (24) and date (25) the consent form.

If less than 30 days but more than 72 hours has elapsed from the date of consent as a consequence of either premature delivery or emergency abdominal surgery, proceed as follows:

**Field 20**

If the sterilization was scheduled to be performed in conjunction with delivery but the delivery was premature, occurring within the 30-day waiting period, check box one and (21) enter the expected date of delivery.

**Field 21**

If the patient was scheduled to be sterilized but within the 30-day waiting period required emergency abdominal surgery and the sterilization was performed at that time, then check box two and (23) describe the circumstances.

**Field 24**

The physician who performed the sterilization must sign and date the form.

**Field 25**

The date of the physician's signature should indicate that the physician's statement was signed after the procedure was performed, that is, on the day of or a day subsequent to the sterilization.

**For Sterilizations Performed In New York City**

New York City local law requires the presence of a witness chosen by the patient when the patient consents to sterilization. In addition, upon admission for sterilization, in New York City, the patient is required to review his/her decision to be sterilized and to reaffirm that decision in writing.

**Witness Certification**

**Field 26**

Enter the name of the witness to the consent to sterilization.

**Field 27**

Enter the date the witness observed the consent to sterilization. This date will be the same date of consent to sterilization (9).

**Field 28**

Enter the patient's name.

**Field 29**

The witness must sign the form.

**Field 30**

Enter the title, if any, of the witness.

**Field 31**

Enter the date of witness's signature.

**Reaffirmation**

**Field 32**

The patient must sign the form.

**Field 33**

Enter the date of the patient's signature. This date should be shortly prior to or same as date of sterilization in field 18.

**Field 34**

The witness must sign the form for reaffirmation. This witness need not be the same person whose signature appears in field 29.

**Field 35**

Enter the date of witness's signature.



## Appendix B – Acknowledgment of Receipt of Hysterectomy Information Form – DSS-3113

An Acknowledgment of Receipt of Hysterectomy Information Form, DSS-3113, must be completed for each hysterectomy procedure. **No other form can be used in place of the DSS-3113.** A supply of these forms, available in English and in Spanish, can be obtained from the New York State Department of Health's website by clicking on the link to the web page below:

### [Local Districts Social Service Forms](#)

For electronic claim submissions, the completed and signed DSS-3113 must be kept in the patient's file. If upon audit and examination, it is found that the acknowledgment of hysterectomy form is not present or is defective, the Department will recoup any and all payments associated with the hysterectomy procedure.

When completing the DSS-3113, please follow the guidelines below:

- Be certain that the form is completed so it can be read easily. An illegible or altered form is unacceptable.
- Each required field or blank must be completed in order to ensure payment.

A sample Hysterectomy Consent Form and step-by-step instructions follow on the next pages.

**Clinic Billing Guidelines: Appendix B**

**DSS-3113 (Rev. 4/84)**

**ACKNOWLEDGEMENT OF RECEIPT OF HYSTERECTOMY INFORMATION  
(NYS MEDICAID PROGRAM)**

*EITHER PART I OR PART II MUST BE COMPLETED*

1. RECIPIENT ID NO.	2. SURGEON'S NAME
---------------------	-------------------

**Part I: RECIPIENT'S ACKNOWLEDGEMENT STATEMENT AND SURGEON'S CERTIFICATION**

**RECIPIENT'S ACKNOWLEDGEMENT STATEMENT**

It has been explained to me, 3. \_\_\_\_\_, that the hysterectomy to be performed on me will  
(RECIPIENT NAME)  
make it impossible for me to become pregnant or bear children. I understand that a hysterectomy is a permanent operation. The reason for performing the hysterectomy and the discomforts, risks and benefits associated with the hysterectomy have been explained to me, and all my questions have been answered to my satisfaction prior to the surgery.

4. RECIPIENT OR REPRESENTATIVE SIGNATURE	5. DATE	6. INTERPRETER'S SIGNATURE (If required)	7. DATE
<b>X</b>		<b>X</b>	

**SURGEON'S CERTIFICATION**

The hysterectomy to be performed for the above mentioned recipient is solely for medical indications. The hysterectomy is not primarily or secondarily for family planning reasons, that is, for rendering the recipient permanently incapable of reproducing.

8. SURGEON'S SIGNATURE	9. DATE
<b>X</b>	

**Part II: WAIVER OF ACKNOWLEDGEMENT AND SURGEON'S CERTIFICATION**

The hysterectomy performed on 10. \_\_\_\_\_ was solely for medical reasons. The  
(RECIPIENT NAME)  
hysterectomy was not primarily or secondarily for family planning reasons, that is, for rendering the recipient permanently incapable of reproducing. I did not obtain Acknowledgement of Receipt of Hysterectomy information from her and have her complete Part I of this form because (please check the appropriate statement and describe the circumstances where indicated):

- 11 1. She was sterile prior to the hysterectomy.  
(briefly describe the cause of sterility) \_\_\_\_\_
- 12 2. The hysterectomy was performed in a life threatening emergency in which prior acknowledgement was not possible. (briefly describe the nature of the emergency)  
\_\_\_\_\_
- 13 3. She was not a Medicaid recipient at the time the hysterectomy was performed but I did inform her prior to surgery that the procedure would make her permanently incapable of reproducing.

14. SURGEON'S SIGNATURE	15. DATE
<b>X</b>	

**DISTRIBUTION:** File patient's medical record; hospital submit with claim for payment; surgeon and anesthesiologist submit with claims for payment; patient

***Field-by-Field Instructions for Completing Acknowledgement  
Receipt of Hysterectomy Information Form – DSS-3113***

Either Part I or Part II must be completed, depending on the circumstances of the operation. In all cases, Fields 1 and 2 must be completed.

**Field 1**

Enter the recipient's Medicaid ID number.

**Field 2**

Enter the surgeon's name.

**Part I: Recipient's Acknowledgement Statement and Surgeon's Certification**

This part must be signed and dated by the recipient or her representative unless one of the following situations exists:

- The recipient was sterile prior to performance of the hysterectomy;
- The hysterectomy was performed in a life-threatening emergency in which prior acknowledgment was not possible; or
- The patient was not a Medicaid recipient on the day the hysterectomy was performed.

**Field 3**

Enter the recipient's name.

**Field 4**

The recipient or her representative must sign the form.

**Field 5**

Enter the date of signature.

**Field 6**

If applicable, the interpreter must sign the form.

**Field 7**

If applicable, enter the date of interpreter's signature.

**Field 8**

The surgeon who performed or will perform the hysterectomy must sign the form to certify that the procedure was for medical necessity and not primarily for family planning purposes.

**Field 9**

Enter the date of the surgeon's signature.

**Part II: Waiver of Acknowledgment**

The surgeon who performs the hysterectomy must complete this Part of the claim form if Part I, the recipient's Acknowledgment Statement, has not been completed for one of the reasons noted above. This part need not be completed before the hysterectomy is performed.

**Field 10**

Enter the recipient's name.

**Field 11**

If the recipient's acknowledgment was **not** obtained because she was sterile prior to performance of the hysterectomy, check this box and briefly describe the cause of sterility, e.g., postmenopausal. This waiver may apply to cases in which the woman was not a Medicaid recipient at the time the hysterectomy was performed.

**Field 12**

If the recipient's Acknowledgment was **not** obtained because the hysterectomy was performed in a life-threatening emergency in which prior acknowledgment was not possible, check this box and briefly describe the nature of the emergency. This waiver may apply to cases in which the woman was not a Medicaid recipient at the time the hysterectomy was performed.

**Field 13**

If the patient's Acknowledgment was **not** obtained because she was not a Medicaid recipient at the time a hysterectomy was performed, but the performing surgeon did inform her before the procedure that the hysterectomy would make her permanently incapable of reproducing, check this box.

**Field 14**

The surgeon who performed the hysterectomy must sign the form to certify that the procedure was for medical necessity and not primarily or secondarily for family planning purposes and that one of the conditions indicated in Fields 11, 12, and 13 existed.

**Field 15**

Enter the date of the surgeon's signature.